FREE BOUNDARY REGULARITY OF VACUUM STATES FOR INCOMPRESSIBLE VISCOUS FLOWS IN UNBOUNDED DOMAINS

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ABSTRACT. In the well-known book of Lions [Mathematical topics in fluid mechanics. Incompressible models, 1996], global existence results of finite energy weak solutions of the inhomogeneous incompressible Navier-Stokes equations (INS) were proved without assuming positive lower bounds on the initial density, hence allowing for vacuum. Uniqueness, regularity and persistence of boundary regularity of density patches were listed as open problems. A breakthrough on Lions' problems was recently made by Danchin and Mucha [The incompressible Navier-Stokes equations in vacuum, Comm. Pure Appl. Math., 72 (2019), 1351–1385] in the case where the fluid domain is either bounded or the torus. However, the case of unbounded domains was left open because of the lack of Poincaré-type inequalities. In this paper, we obtain regularity and uniqueness of Lions' weak solutions for (INS) with only bounded and nonnegative *initial density* and additional regularity only assumed for the initial velocity, in the whole-space case \mathbb{R}^d , d = 2 or 3. In particular, our result allows us to study the evolution of a vacuum bubble embedded in an incompressible fluid, as well as a patch of a homogeneous fluid embedded in the vacuum, which provides an answer to Lions' question in the whole-space case.

1. INTRODUCTION

In the present paper, we are concerned with the Inhomogeneous incompressible Navier-Stokes equations (INS) in the whole-space \mathbb{R}^d (with d = 2, 3)

(INS)
$$\begin{cases} \partial_t \rho + \nabla \cdot (\rho u) = 0, \\ \partial_t (\rho u) + \nabla \cdot (\rho u \otimes u) + \nabla P = \nu \Delta u, \\ \nabla \cdot u = 0. \end{cases}$$

in the presence of vacuum. The unknowns are the velocity field u = u(t, x), the density $\rho = \rho(t, x)$ and the pressure P = P(t, x) and $\nu > 0$ is the viscosity constant.

The initial values are prescribed as follows:

(1.1)
$$\rho|_{t=0} = \rho_0, \quad \rho u|_{t=0} = m_0.$$

It will be assumed that the initial density ρ_0 is nonnegative and bounded in $L^{\infty}(\mathbb{R}^d)$, but not necessarily bounded from below by a positive constant. This allows us to study, for instance, the evolution of a vacuum bubble embedded in an incompressible fluid, as well as of a patch of a homogeneous fluid embedded in the vacuum. Let us

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mention that this problem may be reformulated as a free-boundary problem. Lions in [42, page 34] raised the following question about the persistence of the interface regularity through the evolution:

[In the case of a density patch $\rho = \mathbf{1}_{D(t)}$, the system] (INS) can be reformulated as a somewhat complicated free boundary problem. It is also very natural to ask whether the regularity of D is preserved by the time evolution.

The question asked by Lions was solved by Danchin and Mucha in [16] in the case of bounded domains or the Torus, relying in particular on Poincaré-type inequalities. Hence, these authors mention the whole-space case as an open problem:

[T]he generalization to unbounded domains (even the whole space) within our approach [is] unclear as regards global-in-time results.

One of the main motivations in this paper is to address that question. We give a positive answer, see Theorem C below.

1.1. A brief state of the art.

Global-in-time finite-energy weak solutions. Since the pioneering works by Leray [35] and Ladyzhenskaya [33] on the incompressible homogeneous Navier-Stokes equations, the existence and uniqueness issues of solutions for the inhomogeneous system (INS) has been intensively investigated. Finite-energy weak solutions in the spirit of 'Leray solutions' were built first by Kazhikov et al. [32, 5], in the case when ρ_0 is bounded away from 0. This result was extended by Simon [47] allowing ρ_0 to vanish, in the case of bounded domains. Then, Lions [42] (see also Desjardins [22, 23]) considered the so-called density-dependent Navier-Stokes equations, i.e. the case where viscosity ν depends on density ρ , by using general results on transport equations obtained in DiPerna and Lions' work [25]. As a consequence, in the whole-space \mathbb{R}^d , d = 2 or 3, it is proved in Lions's book [42], see Theorem 1.1 below, that global weak solutions such that ρ is bounded and $u \to u^{\infty}$ as $|x| \to \infty$, for all $t \ge 0$, exist provided that

(1.2)
$$\begin{cases} \rho_0 \ge 0 \quad \text{a.e. in } \mathbb{R}^d, \quad \rho_0 \in L^{\infty}(\mathbb{R}^d), \\ m_0 \in L^2(\mathbb{R}^d), \quad m_0 = 0 \quad \text{a.e. on } \{\rho_0 = 0\}, \\ |m_0|^2 / \rho_0 \in L^1(\mathbb{R}^d). \end{cases}$$

Because of the fact that the momentum equation is degenerate when there is vacuum, Lions needs to assume in addition to (1.2), one of the following three conditions: <u>almost No Vaccum</u>

(aNV)
$$(1/\rho_0) \mathbf{1}_{\rho_0 < \delta_0} \in L^1(\mathbb{R}^d), \text{ for some } \delta_0 > 0,$$

or <u>Vacuum Bubble</u>

(VB)
$$(\underline{\rho} - \rho_0)_+ \in L^p(\mathbb{R}^d)$$
, for some $\underline{\rho} \in (0, \infty)$, $p \in (d/2, \infty)$,

(FFV-1)
$$\begin{cases} \text{if } d = 2, \quad \int_{\mathbb{R}^2} \rho_0^p \langle x \rangle^{2(p-1)} (\log \langle x \rangle)^r \, dx < \infty \\ & \text{for some } p \in (1, +\infty] \text{ and } r > 2p - 1 \\ \text{if } d = 3, \quad \rho_0 \in L^{\frac{3}{2}, \infty}(\mathbb{R}^3), \end{cases}$$

where $\langle x \rangle := (e + |x|^2)^{1/2}$.

It is important to note that above three conditions allow several physically interesting cases. For example, condition (aNV) allows the density to vanish on zero-measure sets, condition (VB) allows vacuum bubbles, while condition (FFV-1) allows density patches.

Let us give a precise definition of a weak solution to the Cauchy problem associated to system (INS). The following definition and result are stated in the book [42] of Lions.

Definition 1.1 ([42, Chapter 2]). Let T > 0. We say that (ρ, u) is a finite-energy weak solution of system (INS) with the initial conditions (1.2), if (ρ, u) satisfies the following properties:

$$\begin{split} \rho &\geq 0, \quad \rho \in L^{\infty}((0,T) \times \mathbb{R}^d), \quad \rho \in \mathcal{C}([0,T]; L^p_{\text{loc}}(\mathbb{R}^d)) \text{ for all } 1 \leq p < \infty, \\ \rho |u|^2 \in L^{\infty}(0,T; L^1(\mathbb{R}^d)), \quad \text{and} \\ u \in L^2(0,T; \widetilde{\mathcal{D}}^{1,2}(\mathbb{R}^2)) \text{ if } d = 2 \quad \text{or} \quad u \in L^2(0,T; \mathcal{D}^{1,2}(\mathbb{R}^3)) \text{ if } d = 3, \end{split}$$

where the spaces

$$\widetilde{\mathcal{D}}^{1,2}(\mathbb{R}^2) := \{ z \in H^1_{\text{loc}}(\mathbb{R}^2); \nabla z \in L^2(\mathbb{R}^2) \}, \quad \mathcal{D}^{1,2}(\mathbb{R}^3) := \{ z \in L^6(\mathbb{R}^3); \nabla z \in L^2(\mathbb{R}^3) \},$$

are endowed with the norms

$$||z||_{\widetilde{\mathcal{D}}^{1,2}(\mathbb{R}^2)} := ||\nabla z||_{L^2(\mathbb{R}^2)}, \quad ||z||_{\mathcal{D}^{1,2}(\mathbb{R}^3)} := ||z||_{L^6(\mathbb{R}^3)} + ||\nabla z||_{L^2(\mathbb{R}^3)}.$$

If (aNV) or (VB) hold, we require in addition that $u \in L^2((0,T) \times \mathbb{R}^d)$. Moreover, (ρ, u) satisfies system (INS) in the sense of distributions in $(0, \infty) \times \mathbb{R}^d$ and the following energy inequality for a.e. $t \in (0,T)$

(1.3)
$$\int_{\mathbb{R}^d} \rho(t,x) |u(t,x)|^2 \, dx + 2\nu \int_0^t \int_{\mathbb{R}^d} |\nabla u(s,x)|^2 \, dx \, ds \leq \int_{\mathbb{R}^d} \frac{|m_0(x)|^2}{\rho_0(x)} \, dx$$

The solution (ρ, u) is a global-in-time finite-energy weak solution of system (INS) if the properties stated above hold for all $T \in (0, \infty)$.

Theorem ([42, Theorem 2.1]). Assume that the initial data (ρ_0, u_0) satisfies condition (1.2) and one of the conditions (aNV)-(FFV-1), then there exists a global weak solution (ρ, u) of system (INS) in the sense of Definition 1.1. Furthermore, one has for all $0 \le \alpha_0 \le \beta_0 < \infty$

(1.4)
$$\max\{x \in \mathbb{R}^d \mid \alpha_0 \le \rho(t, x) \le \beta_0\} \text{ is independent of } t \ge 0.$$

And if $\rho_0 - \rho^\infty \in L^p(\mathbb{R}^d)$ for some $1 \leq p < \infty, \rho^\infty \in [0,\infty)$, then $\rho - \rho^\infty \in \mathcal{C}([0,\infty); L^p(\mathbb{R}^d))$ and $\|\rho - \rho^\infty\|_{L^p(\mathbb{R}^d)}$ is independent of $t \geq 0$. Also, if $\rho \equiv \bar{\rho}$ for some $\bar{\rho} \in [0,\infty)$, then $\rho_0 \equiv \bar{\rho}$ on $[0,\infty) \times \mathbb{R}^d$.

The uniqueness of global weak solutions for system (INS) remains an open question even in the 2D case, although several weak-strong uniqueness results were established e.g. in Lions [42] and Germain [29].

Uniqueness in the absence of vacuum. Let us recall some recent developments on the unique solvability of the inhomogeneous incompressible Navier-Stokes equations (INS) in the absence of vacuum. Since the density is bounded below away from zero, this case is rather close to the homogeneous flows (i.e. density is constant). Strong solutions were first considered by Ladyzhenskaya and Solonnikov [34] in the bounded domain case, whenever initial velocity and density are smooth enough and away from vacuum.

After these early works, a number of papers were devoted to the study of strong solutions to system (INS), with particular interest in classes of initial data generating regular unique solutions. Here, an important feature for system (INS) is the scaling invariance: if (ρ, u, P) is a solution associated to the initial data (ρ_0, u_0) , then $(\rho, \lambda u, \lambda^2 P)(\lambda^2 t, \lambda x)$ is a solution associated to $(\rho_0, \lambda u_0)(\lambda x)$, for all $\lambda > 0$. In the critical¹ regularity framework, the local and global existence results were first obtained by Danchin [12] in the case when initial density has small variation, but still not including patches of density. Abidi [1] and Abidi and Paicu [3] extended these results to the case with variable viscosity in critical Besov spaces. Then, many efforts focused on removing these smallness assumptions on the density, see for example [13, 7, 4, 48, 2].

For discontinuous densities, Danchin and Mucha [14] first proved well-posedness results for data including initial density patches (that have small variation) by a Lagrangian approach. Later, Paicu, Zhang and Zhang [46] (see also [15]) established global unique solvability with only bounded initial density, in addition bounded from below; see also further developments [31, 19, 49, 21] on initial velocity in critical functional spaces. These results enable initial density of the type $\rho_0 = \rho_1 \mathbf{1}_{\Omega} + \rho_2 \mathbf{1}_{\Omega^c}$, where ρ_1, ρ_2 are positive constants, Ω is a bounded domain in \mathbb{R}^d and $\mathbf{1}_{\Omega}$ is the characteristic function of Ω . In connection with Lions' question on the persistence of boundary regularity of Ω , we refer to the works [20, 27, 39, 40, 41, 28, 45].

Uniqueness in the presence of vacuum. Concerning strong solutions allowing vacuum, local well-posedness was proved by Choe and Kim [10] and Cho and Kim [9] under compatibility conditions. Later that condition was removed by Li [36]. Recently, the work of Craig, Huang and Wang [11] and Lü, Shi and Zhong [43] established global strong solutions. All these results allow compactly supported initial densities, but still need to be smooth enough so that discontinuous initial densities are not allowed.

A breakthrough on the global unique solvability for system (INS) with only bounded and nonnegative initial density was made very recently by Danchin and Mucha [16] in the case where the fluid domain is either bounded or the torus. We also mention the stability result [18] of the density patches problem after the paper [16].

Finally, let us briefly recall the main ideas from [16] for handling vacuum in the twodimensional case. In order to obtain $H^1(\mathbb{T}^2)$ regularity for the velocity the authors of [16] test the momentum equation by $\partial_t u$. It appears that the only difficult term is

¹A functional space for the data (ρ_0, u_0) or for the solution (ρ, u) is said to be critical if its norm is invariant under the natural scaling of (INS).

 $\|\sqrt{\rho u} \cdot \nabla u\|_{L^2(\mathbb{T}^2)}^2$. More precisely, if the density contains regions of vacuum one does not have obvious control of $\|\sqrt{\rho u}\|_{L^p(\mathbb{T}^2)}$ for some p > 2, and this also reveals the lack of lower-order bound for the velocity. However, by taking advantage of the following Desjarding interpolation inequality (see [24, 16]):

(1.5)
$$\left(\int_{\mathbb{T}^2} \rho |u|^4 \, dx \right)^{1/2} \leq C \|\sqrt{\rho} u\|_{L^2(\mathbb{T}^2)} \|\nabla u\|_{L^2(\mathbb{T}^2)} \cdot \ln^{1/2} \left(e + \frac{\|\rho - M\|_{L^2(\mathbb{T}^2)}^2}{M^2} + \frac{\rho_* \|\nabla u\|_{L^2(\mathbb{T}^2)}^2}{\|\sqrt{\rho} u\|_{L^2(\mathbb{T}^2)}^2} \right),$$

which is an improvement of the well-known Ladyzhenskaya inequality, it turns out that the $H^1(\mathbb{T}^2)$ norm of the velocity is bounded globally-in-time by its initial values. Above, $M := \|\rho_0\|_{L^1(\mathbb{T}^2)}$ represents the total mass. Moreover, thanks to the Poincaré inequality

(1.6)
$$||u - \bar{u}(t)||_{L^2(\mathbb{T}^2)} \le C ||\nabla u||_{L^2(\mathbb{T}^2)}$$
 with $\bar{u}(t) := \frac{1}{|\mathbb{T}^2|} \int_{\mathbb{T}^2} u(t, x) \, dx$,

and the conservation laws of mass and momentum, one has $u \in L^{\infty}(\mathbb{R}_+; L^2(\mathbb{T}^2))$.

Unfortunately, neither (1.5) nor (1.6) is valid in the whole-space case. This creates significant difficulties to handle vacuum in our setting, especially for the far-field vacuum in the two-dimensional case. Moreover, the roughness of the density causes additional difficulties for the uniqueness issue. Indeed, we can only perform estimates in low regularity spaces for the following degenerate equation

$$\underbrace{\rho(\partial_t \delta u + u \cdot \nabla \delta u)}_{\text{degenerate when there is vacuum}} + \nabla \delta P - \Delta \delta u = \underbrace{-\delta \rho \, \dot{u}}_{\text{loss of one derivative already}} - \rho \delta u \cdot \nabla \bar{u};$$

see more remarks in the Subsection 1.4.

1.2. Main results. The main goal of the present paper is to prove regularity and uniqueness results of weak solutions for system (INS) with only bounded and nonnegative initial density. Hence our results are extensions to the whole-space of the results of Danchin and Mucha [16] (bounded domains or the Torus). We assume the additional regularity $\tilde{\mathcal{D}}^{1,2}(\mathbb{R}^2)$ or $\mathcal{D}^{1,2}(\mathbb{R}^3)$ for the initial velocity.

Let us now state our main results. We first address the two-dimensional case.

Theorem A (existence and uniqueness in 2D). Consider any initial data (ρ_0, u_0) satisfying (1.2) such that $\nabla u_0 \in L^2(\mathbb{R}^2)$ and $\nabla \cdot u_0 = 0$. Assume that for some constant $\rho_* > 0$,

$$(1.7) 0 \le \rho_0 \le \rho_*.$$

Then, there are two cases.

 \triangleright Case 1: ρ_0 satisfies either (aNV) or (VB).

There exists a unique global-in-time solution (ρ, u) for the Cauchy problem of system (INS) supplemented with the initial data (ρ_0, u_0) , in the sense of Definition 1.1,

satisfying in addition the following regularity properties:

$$0 \leq \rho \leq \rho_*, \quad u \in L^{\infty}(\mathbb{R}_+; H^1(\mathbb{R}^2)), \quad \sqrt{\rho}u_t, \ \Delta u, \ \nabla P \in L^2(\mathbb{R}_+ \times \mathbb{R}^2),$$

and for any $T > 0, \ \sqrt{\rho t}u_t \in L^{\infty}(0, T; L^2(\mathbb{R}^2)), \ \nabla(\sqrt{t}u_t) \in L^2((0, T) \times \mathbb{R}^2),$
$$\nabla^2(\sqrt{t}u), \ \nabla(\sqrt{t}P) \in L^q(0, T; L^r(\mathbb{R}^2)), \text{ for all } r \in [2, \infty), \ q \in [2, 2r/(r-2)).$$

Moreover, we have $\nabla u \in L^1_{\text{loc}}(\mathbb{R}_+; L^{\infty}(\mathbb{R}^2))$.

 \triangleright Case 2: neither (aNV) nor (VB) are satisfied.

There still exists a unique global-in-time solution (ρ, u) for the Cauchy problem of system (INS) supplemented with the initial data (ρ_0, u_0) , in the sense of Definition 1.1, which satisfies³

$$\begin{split} 0 &\leq \rho \leq \rho_*, \ u \in L^{\infty}_{\text{loc}}(\mathbb{R}_+ \times \mathbb{R}^2), \ \nabla u \in L^{\infty}(\mathbb{R}_+; L^2(\mathbb{R}^2)), \\ & \sqrt{\rho} \dot{u}, \ \Delta u, \ \nabla P \in L^2(\mathbb{R}_+ \times \mathbb{R}^2), \\ & \sqrt{\rho} t \dot{u} \in L^{\infty}(\mathbb{R}_+; L^2(\mathbb{R}^2)), \ \nabla(\sqrt{t} \dot{u}) \in L^2(\mathbb{R}_+ \times \mathbb{R}^2), \end{split}$$

and for any T > 0,

$$\nabla^2(\sqrt{tu}), \ \nabla(\sqrt{tP}) \in L^q(0,T;L^r(\mathbb{R}^2)), \text{ for all } r \in [2,\infty), \ q \in [2,2r/(r-2)),$$

and $\sqrt{\rho}\dot{u}, \ \Delta u, \ \nabla P \in L^\infty(0,T;L^2(\mathbb{R}^2)), \ \nabla \dot{u} \in L^2(0,T;\mathbb{R}^2),$

provided that (ρ_0, u_0) additionally satisfies the following Far-Field Vacuum conditions⁴ (FFV-2) $\bar{x}^{\alpha}\rho_0 \in L^1(\mathbb{R}^2) \cap L^{\infty}(\mathbb{R}^2)$ for some $\alpha > 1$ with $\bar{x} := \langle x \rangle (\ln \langle x \rangle)^2$ and the Compatibility condition

(Compa) $-\Delta u_0 + \nabla P_0 = \sqrt{\rho_0}g$, for $u_0 \in L^2(\mathbb{R}^2)$, $\nabla u_0 \in L^1(\mathbb{R}^2)$, $g \in L^2(\mathbb{R}^2)$. Moreover, we have $\nabla u \in L^1_{\text{loc}}(\mathbb{R}_+; L^{\infty}(\mathbb{R}^2))$.

Next, we state our result in the three-dimensional case.

Theorem B (existence and uniqueness in 3D). Consider any initial data (ρ_0, u_0) satisfying (1.2) such that $u_0 \in \mathcal{D}^{1,2}(\mathbb{R}^3)$, $\nabla \cdot u_0 = 0$ and ρ_0 satisfies (1.7). Then system (INS) supplemented with initial data (ρ_0, u_0) admits a unique solution (ρ, u) on the time interval $(0, T_0)$, in the sense of Definition 1.1, satisfying in addition the following regularity properties:⁵

$$0 \leq \rho \leq \rho_*, \ u \in L^{\infty}(0, T_0; \mathcal{D}^{1,2}(\mathbb{R}^3)), \ \sqrt{\rho}u_t, \ \Delta u \in L^2((0, T_0) \times \mathbb{R}^3),$$
$$P \in L^2(0, T_0; \mathcal{D}^{1,2}(\mathbb{R}^3)), \ \nabla u \in L^1(0, T_0; L^{\infty}(\mathbb{R}^3)),$$
$$\sqrt{\rho}tu_t \in L^{\infty}(0, T_0; L^2(\mathbb{R}^3)), \ \sqrt{t}u_t \in L^2(0, T_0; \mathcal{D}^{1,2}(\mathbb{R}^3)),$$
$$\nabla^2(\sqrt{t}u), \ \nabla(\sqrt{t}P) \in L^q(0, T_0; L^r(\mathbb{R}^3)), \ for \ all \ r \in [2, 6], \ q \in [2, 4r/(3r - 6)],$$

³Here and elsewhere in the paper \dot{u} denotes the convective derivative, i.e. $\dot{u} = (\partial_t + u \cdot \nabla)u$.

⁴Notice that the parameter $\alpha > 1$ in (FFV-2) is fixed throughout the paper.

⁵The $L_t^1 Lip_x$ regularity below is essential for Theorem C.

²This $L_t^1 Lip_x$ regularity is essential not only for the uniqueness part of the statement, but also for Theorem C below.

where $T_0 := \frac{c\nu^3}{\rho_*^3 \|\nabla u_0\|_{L^2(\mathbb{R}^3)}^4}$ for some universal constant c. Finally, there exists a universal constant $c_0 > 0$ such that if

(1.8)
$$\rho_*^{\frac{3}{2}} \|\sqrt{\rho_0} u_0\|_{L^2(\mathbb{R}^3)} \|\nabla u_0\|_{L^2(\mathbb{R}^3)} \le c_0 \nu^2,$$

then the local-in-time solution can be extended globally-in-time.

Remark 1.2. The initial condition $\sqrt{\rho_0}u_0, \nabla u_0 \in L^2(\mathbb{R}^d)$ together with assumption (aNV) or (VB) imply that $u_0 \in L^2(\mathbb{R}^d)$, d = 2 or 3. Under (aNV) or (VB), there exists a positive constant C_* depending only on the factors in condition (aNV) or (VB) such that on the time interval $(0, \infty)$ (d = 2) and on the life-span $[0, T_*)$ of the solution in Theorem B (d = 3), inequality (A.6) implies

(1.9)
$$\|u(t,\cdot)\|_{L^2(\mathbb{R}^d)} \le C_* (\|\sqrt{\rho}u(t,\cdot)\|_{L^2(\mathbb{R}^d)} + \|\nabla u(t,\cdot)\|_{L^2(\mathbb{R}^d)}).$$

In the two-dimensional far-field vacuum case, i.e. under (FFV-2) and (Compa), we also have $u_0 \in L^2(\mathbb{R}^2)$ by assumption. However, we cannot show that $u(t, \cdot) \in L^2(\mathbb{R}^2)$. Indeed, instead of the interpolation inequality, we have the weighted estimate (A.3).

As a by-product, we obtain the following result, which give a positive answer to Lions' question ([42, page 34], see above in the preamble) in the whole-space case. As mentioned above the case of bounded domains or the Torus was solved in [16].

Theorem C (solution to Lions' problem). Assume $\gamma \in (0, 1)$ if d = 2, and $\gamma \in (0, \frac{1}{2})$ if d = 3. Let $\Omega_0 \subset \mathbb{R}^d$ be a bounded simply connected domain with boundary $\partial \Omega_0 \in \mathcal{C}^{1,\gamma}$. Suppose that the initial velocity satisfies all the conditions in Theorem A or Theorem B, and initial density

$$\rho_0(x) = 1 - \mathbf{1}_{\Omega_0}(x) \quad \text{or} \quad \rho_0(x) = \mathbf{1}_{\Omega_0}(x), \quad x \in \mathbb{R}^d.$$

Then for each case the unique global solution (ρ, u) of system (INS) provided by previous theorems satisfies, respectively,

$$\rho(t,x) = 1 - \mathbf{1}_{\Omega_t}(x) \quad \text{or} \quad \rho(t,x) = \mathbf{1}_{\Omega_t}(x) \quad \text{with} \quad \partial \Omega_t \in \mathcal{C}^{1,\gamma},$$

where $\Omega_t := X(t, \Omega_0)$ and X is the flow associated to the velocity u, that is, the unique solution to

$$X(t,y) = y + \int_0^t u(s, X(s,y)) \, ds, \quad y \in \mathbb{R}^d.$$

Remark 1.3. Here, contrary to Theorem A and Theorem B, only one bubble/patch is allowed. As a consequence, the case of multiple bubbles/patches is open.

1.3. A few remarks on the main results.

<u>Main novelties</u>. First, our results settle the uniqueness question for the difficult twodimensional system in the presence of far-field vacuum. Notice that this is a novelty of the whole-space case because in the bounded domain and Torus case treated previously by Danchin and Mucha [16] there is no far-field vacuum. Notice that the far-field vacuum case is not accessible by the methods of [16]. Indeed, our work seems to be the first unique solvability result concerning (INS) in unbounded domains supplemented with merely bounded densities that can contain vacuum. Moreover, the strategy followed in the current paper maybe adapted to the half-space case [19], which remains an interesting problem in the field.

Second, let us stress that in Theorem A and Theorem B, the density is bounded but does not satisfy any smoothness assumption. Notice that this is not the case of other works concerned with the whole-space. For example, in [43] the initial density satisfies the condition

$$\bar{x}^{\alpha}\rho_0 \in L^1(\mathbb{R}^2) \cap H^1(\mathbb{R}^2) \cap W^{1,p}(\mathbb{R}^2), \quad p > 2,$$

while in [9] the authors assume that $\rho_0 \in W^{1,p}(\mathbb{R}^d)$, with p > d, d = 2 or 3. These conditions do not allow for non smooth densities with jump discontinuities like patches or vacuum bubbles.

Third, we emphasize that in both theorems, Theorem A and Theorem B, we construct finite-energy weak solutions in the sense of Definition 1.1. These solutions have additional regularity properties that follow from the assumption that the initial velocity belongs to the spaces $\tilde{\mathcal{D}}^{1,2}(\mathbb{R}^2)$ or $\mathcal{D}^{1,2}(\mathbb{R}^3)$. In this framework, we can prove the uniqueness of the solutions that we construct. Notice that we do not rely on Lions' theorem of existence of finite-energy weak solutions (Theorem 1.1 above), but prove the existence of the solutions with the properties stated above, see Section 2 for the existence part of Theorem B in the three-dimensional case, and see Section 3 for the existence part of Theorem A in the two-dimensional case. Finally, let us also remark that condition (FFV-1) is not needed neither in 2D nor 3D. In 2D, the far-field vacuum condition (FFV-1) is replaced by the condition (FFV-2) and (Compa).

<u>Some further estimates</u>. We state here certain further boundedness properties for the velocity that can be obtained from the estimates of the paper.

In 3D under (aNV) or (VB), we already know, see Theorem B and Remark 1.2 that $u \in L_t^{\infty} L_x^2$ on the life-span of the solution. This in combination with the techniques of the paper and the following compatibility assumption

(Compa-3D)
$$-\Delta u_0 + \nabla P_0 = \sqrt{\rho_0}g, \text{ for } g \in L^2(\mathbb{R}^2).$$

gives that $u \in L^{\infty}_{t,x}$ on the life-span of the solution.

In 2D under (aNV) or (VB), we already know, see Theorem A and Remark 1.2 that $u \in L^{\infty}(0, \infty; L^2(\mathbb{R}^2))$. Combining this with the assumption (Compa) gives that $u \in L^{\infty}(\mathbb{R}_+ \times \mathbb{R}^2)$. In the case when neither (aNV) nor (VB), we get at best the weighted-boundedness of the velocity

(1.10)
$$\sup_{t \in [0,T]} \|\bar{x}^{-b} u(t, \cdot)\|_{L^{\infty}(\mathbb{R}^2)} < \infty,$$

see Proposition 3.8 under (FFV-2) and (Compa).

1.4. Main difficulties and strategy for the proofs. First of all, let us point to the main difficulties. Those emerge from the facts that: (i) the density is rough (our analysis includes density patches and vacuum bubbles) and (ii) the density may vanish on some part of \mathbb{R}^d .

Dealing with the vacuum. If there is vacuum at initial time, the velocity equation is degenerate and it becomes particularly difficult to gain control of the velocity itself. It is important to note here that there is an essential difference between the two- and threedimensional cases. In the three-dimensional case, see Theorem B, we can propagate the assumption that $u_0 \in \mathcal{D}^{1,2}(\mathbb{R}^3)$ and hence show that $u \in L^{\infty}((0,T_0);\mathcal{D}^{1,2}(\mathbb{R}^3))$. Hence $u \in L^{\infty}((0,T_0); L^6(\mathbb{R}^3))$ by Sobolev's embedding. In the two-dimensional case in the presence of vacuum, the quantity that is naturally controlled by the energy estimate (1.3) is $\sqrt{\rho}u$, which generally does not ensure that the velocity $u \in L^p(\mathbb{R}^2)$ for some $p \in [1, \infty]$. Hence, one needs to find a way to control u from $\|\sqrt{\rho}u(t, \cdot)\|_{L^2(\mathbb{R}^2)}$ and $\|\nabla u(t,\cdot)\|_{L^2(\mathbb{R}^2)}$. Such estimates can be proved, see Appendix A, under specific assumptions that control the size of the vacuum region and the behavior of the density near the vacuum region. In the most favorable case, i.e. condition (aNV) or (VB), one can show a direct interpolation estimate for the L^2 norm of the velocity in terms of $\|\sqrt{\rho u(t,\cdot)}\|_{L^2(\mathbb{R}^2)}$ and $\|\nabla u(t,\cdot)\|_{L^2(\mathbb{R}^2)}$, see Proposition A.6. In the case of the far-field vacuum assumption, which is the most difficult case handled in our work, one can only show an estimate for weighted L^m norms of the velocity, $m \ge 2$, see Proposition A.4.

The compatibility condition for the far-field vacuum case. A compatibility condition in the spirit of (Compa) first appeared in the works [9, 10]. Roughly speaking, such a condition boils down to assuming that $\sqrt{\rho}\partial_t u$ belongs to $L^2(\mathbb{R}^2)$ at initial time. The compatibility condition was removed in the recent papers [36, 43]. In these works the existence and uniqueness of strong solutions is proved. However, without a compatibility condition, one is unable to infer certain information, such as continuity in time, on the velocity near initial time. One barely has time weighted estimates on the velocity.

To handle the two-dimensional far-field vacuum (Theorem A (Case 2)), which is the most difficult case, we show persistence of the initial condition (FFV-2). Doing so, we can only obtain bounds for ρu and time-weighted estimates of u. Condition (Compa) is then crucial to get the space-weighted boundedness of the velocity near initial time, see (1.10) and Proposition 3.8, which in turn is key for the uniqueness in Subsection 4.2.

The compatibility condition (Compa) creates important difficulties when trying to approximate the initial data to construct an approximate sequence of smooth solutions. Our construction in Subsubsection 3.2.1 is inspired by [10]. However, since we apply existence results for smooth data, we need to further regularize the right-hand-side $\sqrt{\rho_0 g}$ of (Compa). We then require that $u_0 \in L^2(\mathbb{R}^2)$ and $\nabla u_0 \in L^1(\mathbb{R}^2)$ so as to be able to show the appropriate convergence and boundedness properties of the constructed sequence of approximate data.

Outline of the proofs. The general strategy is that of proofs à la Hoff [30].

We first get lower-order estimates on the velocity. We give propagate the $\mathcal{D}^{1,2}(\mathbb{R}^2)$ or the $\mathcal{D}^{1,2}(\mathbb{R}^3)$ regularity of the initial data for the velocity. In the three-dimensional case, this estimate directly yields a $L_t^{\infty} L_x^6$ bound on u. In the two-dimensional case, these estimates, in combination with the interpolation estimate of Proposition A.6 (Case 1 of Theorem A) or the weighted interpolation estimate of Proposition 3.8 (Case 2 of Theorem A), lead to estimates of the velocity itself.

The next step is to get higher-order estimates on the velocity. We carry out timeweighted estimates of $\sqrt{\rho}\dot{u}$. Finally we transfer (shift) the integrability of $\sqrt{\rho}\dot{u}$ to second-order derivatives of the velocity via maximal regularity estimates for the stationary Stokes system. This enables us to get the crucial Lipschitz estimate for the velocity.

The Lipschitz estimate for the velocity is key to the uniqueness proofs. To show the uniqueness, we carry out a duality proof, which is a new approach in this context. In particular our approach differs from the Lagrangian approach used in [16].

For more details concerning the relationship between the results in the paper, see Figure 1.



FIGURE 1. Relationships between the results in the paper

1.5. Some notations and assumptions. Throughout, we use B_n to denote the ball $\{x \in \mathbb{R}^d; |x| \leq n\}$. The notation $(f)_+ = \max(f, 0)$ stands for the positive part of a function f. The japanese bracket is defined as follows $\langle x \rangle := (e + |x|^2)^{1/2}$ and we

recall that the notation \bar{x} stands for $\bar{x} := \langle x \rangle (\ln \langle x \rangle)^2$. We define material derivative $\dot{v} := \partial_t v + u \cdot \nabla v$ for functions $v : \mathbb{R}_+ \times \mathbb{R}^d \to \mathbb{R}^d$. Sometimes, we denote $\partial_t v$ by v_t . For simplicity, we will fix the viscosity to be 1 thanks to a standard rescaling argument.

1.6. Outline of the paper. Section 2 is concerned with the three-dimensional case. It is devoted to the proof of the existence part of Theorem B. Section 3 handles the two-dimensional case. It is devoted to the existence part of Theorem A. The proof is more involved, especially in the far-field vacuum case, than in 3D. The proof of uniqueness parties of Theorem A and Theorem B is carried out in Section 4. Theorem C is proved in Section 5. Some frequently used functional spaces and essential interpolation inequalities are recalled in Appendix A.

2. Proof of the existence results in the three-dimensional case, Theorem B

This section mainly concerns the proof of the existence part in Theorem B (3D case). The idea is to take advantage of classical results to construct smooth approximate solutions without vacuum. After that, we show persistence of $\mathcal{D}^{1,2}(\mathbb{R}^3)$ regularity and some time-weighted estimates for time derivatives of the velocity independent of the lower bounds of the density. Those estimates will enable us to shift the regularity to obtain $L_t^1 Lip_x$ estimates for the velocity, which is important for our proof of uniqueness. Finally, we pass to the limit via classical compactness argument.

Recall that the initial data (ρ_0, u_0) satisfies conditions (1.2) and (1.7), and that $u_0 \in \mathcal{D}^{1,2}(\mathbb{R}^3), \nabla \cdot u_0 = 0$. Thus, we consider smoothed-out initial data

$$\rho_0^{\varepsilon} \in \mathcal{C}^{\infty}(\mathbb{R}^3)$$
 with $\varepsilon \leq \rho_0^{\varepsilon} \leq 2\rho_*$ and $u_0^{\varepsilon} \in \mathcal{C}_0^{\infty}(\mathbb{R}^3)$ with $\nabla \cdot u_0^{\varepsilon} = 0$

such that as $\varepsilon \to 0^+$

$$u_0^{\varepsilon} \to u_0 \quad \text{in } \mathcal{D}^{1,2}(\mathbb{R}^3), \quad \sqrt{\rho_0^{\varepsilon}} u_0^{\varepsilon} \rightharpoonup \sqrt{\rho_0} u_0 \quad \text{in } L^2(\mathbb{R}^3)$$

and

$$\rho_0^{\varepsilon} \rightharpoonup \rho_0 \quad \text{weak} * \text{ in } L^{\infty}(\mathbb{R}^3).$$

Then, by the strong solution theory (e.g. [13, Theorem 0.2]), we know that there exists a local-in-time strong solution ($\rho^{\varepsilon}, u^{\varepsilon}$) to (INS).

2.1. Uniform estimates. In what follows, we focus on uniform estimates for the approximate solutions $(\rho^{\varepsilon}, u^{\varepsilon})$. We often use the following Sobolev embedding

(2.1)
$$||z||_{L^6(\mathbb{R}^3)} \le C ||\nabla z||_{L^2(\mathbb{R}^3)} \text{ for } z \in \dot{H}^1(\mathbb{R}^3).$$

with a universal positive constant C. For notational simplicity, we omit the superscript ε and write the solution (ρ, u) .

2.1.1. Persistence of $\mathcal{D}^{1,2}(\mathbb{R}^3)$ regularity for the velocity.

Proposition 2.1 (gradient estimate). Let (ρ, u) be a smooth enough solution to system (INS) on $[0, T_*) \times \mathbb{R}^3$. There exist positive universal constants c_0, C such that if

(2.2)
$$\rho_*^{\frac{3}{2}} \|\sqrt{\rho_0} u_0\|_{L^2(\mathbb{R}^3)} \|\nabla u_0\|_{L^2(\mathbb{R}^3)} \le c_0$$

then it holds for all $t \in [0, T_*)$

$$(2.3) \quad \|u(t,\cdot)\|_{\mathcal{D}^{1,2}(\mathbb{R}^3)}^2 + 2\int_0^t \|(\sqrt{\rho}u_t,\Delta u)(s,\cdot)\|_{L^2(\mathbb{R}^3)}^2 \, ds + 2\int_0^t \|P(s,\cdot)\|_{\mathcal{D}^{1,2}(\mathbb{R}^3)}^2 \, ds \\ \leq \|\nabla u_0\|_{L^2(\mathbb{R}^3)}^2 \exp(C\|\sqrt{\rho_0}u_0\|_{L^2(\mathbb{R}^3)}^2)\|\nabla u_0\|_{L^2(\mathbb{R}^3)}^2).$$

If (2.2) is not satisfied, then (2.3) holds true on [0,T] provided that

(2.4)
$$T \le \frac{c}{\rho_*^3 \|\nabla u_0\|_{L^2(\mathbb{R}^3)}^4} \quad \text{for some universal constant } c.$$

Proof. In order to estimate the second derivative of u and the gradient of the pressure, we rewrite the momentum equation of (INS) in the form

(2.5)
$$\begin{cases} -\Delta u + \nabla P = -\rho \dot{u} & \text{in } (0,T) \times \mathbb{R}^3, \\ \nabla \cdot u = 0 & \text{in } (0,T) \times \mathbb{R}^3, \\ u \to 0, \quad \text{as } |x| \to \infty. \end{cases}$$

Applying the standard L^2 -estimate to (2.5) yields that

(2.6)
$$\|\Delta u\|_{L^2(\mathbb{R}^3)^2}^2 + \|\nabla P\|_{L^2(\mathbb{R}^3)}^2 \le C\Big(\rho_*\|\sqrt{\rho}u_t\|_{L^2(\mathbb{R}^3)}^2 + \rho_*^2\|u\cdot\nabla u\|_{L^2(\mathbb{R}^3)}^2\Big).$$

Testing the momentum equation of (INS) against u_t yields

$$\frac{1}{2}\frac{d}{dt}\|\nabla u\|_{L^2(\mathbb{R}^3)}^2 + \|\sqrt{\rho}u_t\|_{L^2(\mathbb{R}^3)}^2 = -\langle \rho u \cdot \nabla u, u_t \rangle.$$

Hence, by Hölder's inequality

$$\frac{1}{2} \frac{d}{dt} \|\nabla u\|_{L^{2}(\mathbb{R}^{3})}^{2} + \|\sqrt{\rho}u_{t}\|_{L^{2}(\mathbb{R}^{3})}^{2} \leq \|\sqrt{\rho}u \cdot \nabla u\|_{L^{2}(\mathbb{R}^{3})} \|\sqrt{\rho}u_{t}\|_{L^{2}(\mathbb{R}^{3})}^{2} \\
\leq C\rho_{*} \|u \cdot \nabla u\|_{L^{2}(\mathbb{R}^{3})}^{2} + \frac{1}{4} \|\sqrt{\rho}u_{t}\|_{L^{2}(\mathbb{R}^{3})}^{2}$$

Putting the above inequality together with (2.6) implies

$$(2.7) \quad \frac{d}{dt} \|\nabla u\|_{L^{2}(\mathbb{R}^{3})}^{2} + \frac{1}{\rho_{*}} \|(\sqrt{\rho}u_{t}, \Delta u, \nabla P)\|_{L^{2}(\mathbb{R}^{3})}^{2} \leq C\rho_{*} \|u\|_{L^{6}(\mathbb{R}^{3})}^{2} \|\nabla u\|_{L^{3}(\mathbb{R}^{3})}^{2} \\ \leq C\rho_{*} \|\nabla u\|_{L^{2}(\mathbb{R}^{3})}^{3} \|\Delta u\|_{L^{2}(\mathbb{R}^{3})} \\ \leq C\rho_{*}^{3} \|\nabla u\|_{L^{2}(\mathbb{R}^{3})}^{6} + \frac{1}{2\rho_{*}} \|\Delta u\|_{L^{2}(\mathbb{R}^{3})}^{2}$$

in which inequality (2.1) and the Gagliardo-Nirenberg inequality (A.1) were used. Therefore, we see that whenever T satisfies $C\rho_*^3 \|\nabla u_0\|_{L^2(\mathbb{R}^3)}^2 \int_0^T \|\nabla u\|_{L^2(\mathbb{R}^3)}^2 ds < 1$, we have

$$\|\nabla u(t,\cdot)\|_{L^2(\mathbb{R}^3)}^2 \le \frac{\|\nabla u_0\|_{L^2(\mathbb{R}^3)}^2}{1 - C\rho_*^3 \|\nabla u_0\|_{L^2(\mathbb{R}^3)}^2 \int_0^T \|\nabla u(s,\cdot)\|_{L^2(\mathbb{R}^3)}^2 \, ds} \quad \text{for all } t \in [0,T].$$

Now, the energy inequality (1.3) implies that

$$\|\nabla u_0\|_{L^2(\mathbb{R}^3)}^2 \int_0^t \|\nabla u\|_{L^2(\mathbb{R}^3)}^2 \, ds \le \|\nabla u_0\|_{L^2(\mathbb{R}^3)}^2 \|\sqrt{\rho_0}u_0\|_{L^2(\mathbb{R}^3)}^2 \le \frac{1}{2C\rho_*^3} \quad \text{for any } t \ge 0$$

provided that $(\sqrt{\rho_*})^3 \|\nabla u_0\|_{L^2(\mathbb{R}^3)} \|\sqrt{\rho_0} u_0\|_{L^2(\mathbb{R}^3)} \leq c_0$ for small enough c_0 . Using inequality (2.1) again, we get (2.3).

In the case when the smallness condition is not satisfied, we get from (2.7) that

$$\|\nabla u(t,\cdot)\|_{L^2(\mathbb{R}^3)}^4 \le \frac{\|\nabla u_0\|_{L^2(\mathbb{R}^3)}^4}{1 - 2C\rho_*^3 t \|\nabla u_0\|_{L^2(\mathbb{R}^3)}^4},$$

which eventually enables us to finish the proof of the second statement.

Remark 2.2 (boundedness in space of the velocity). Notice that thanks to inequality (2.3) we also have

$$\begin{split} \int_0^T \|u(t,\cdot)\|_{L^{\infty}(\mathbb{R}^3)}^4 \, dt &\leq \int_0^T \|\nabla u\|_{L^2(\mathbb{R}^3)}^2 \|\nabla^2 u\|_{L^2(\mathbb{R}^3)}^2 \, dt \\ &\leq \|\nabla u_0\|_{L^2(\mathbb{R}^3)}^4 \exp(C\|\sqrt{\rho_0}u_0\|_{L^2(\mathbb{R}^3)}^2 \|\nabla u_0\|_{L^2(\mathbb{R}^3)}^2). \end{split}$$

2.1.2. Estimates of the time derivative. Here, we want to bound time derivative $\sqrt{\rho t} u_t$ in the space $L^{\infty}(0,T; L^2(\mathbb{R}^3))$ and $\sqrt{t} \nabla u_t$ in the space $L^2((0,T) \times \mathbb{R}^3)$, since it is an important step towards the proof of existence and higher-order spatial estimates for the velocity.

Proposition 2.3 (time derivative estimates). Let (ρ, u) be a smooth enough solution to system (INS) on $[0, T_*) \times \mathbb{R}^3$. Then for all $T \in [0, T_*)$ it holds

(2.8)
$$\sup_{t \in [0,T]} \|\sqrt{\rho t} u_t\|_{L^2(\mathbb{R}^3)}^2 + \int_0^T \|\sqrt{t} u_t\|_{\mathcal{D}^{1,2}(\mathbb{R}^3)}^2 \, ds \le C_{0,T},$$

where $C_{0,T}$ is a constant depending only on T, ρ_* and norms $\|\sqrt{\rho_0}u_0\|_{L^2(\mathbb{R}^3)}, \|\nabla u_0\|_{L^2(\mathbb{R}^3)}$.

Proof. At first, applying the time derivative ∂_t to the momentum equation in system (INS) and multiplying the resulting equation by \sqrt{t} yields

(2.9)
$$\rho(\partial_t(\sqrt{t}u_t) + u \cdot \nabla(\sqrt{t}u_t)) - \Delta(\sqrt{t}u_t) + \nabla(\sqrt{t}P_t)$$
$$= \frac{1}{2\sqrt{t}}\rho u_t - \sqrt{t}\rho_t u_t - \sqrt{t}\rho_t u \cdot \nabla u - \sqrt{t}\rho u_t \cdot \nabla u.$$

Taking the L^2 scalar product with $\sqrt{t}u_t$, we get

(2.10)
$$\frac{1}{2}\frac{d}{dt}\|\sqrt{\rho t}u_t\|_{L^2}^2 + \|\nabla(\sqrt{t}u_t)\|_{L^2}^2 = A_1 + \dots + A_4$$

where

$$A_{1} := \frac{1}{2} \|\sqrt{\rho}u_{t}\|_{L^{2}(\mathbb{R}^{3})}^{2},$$

$$A_{2} := -\langle t\rho_{t}, |u_{t}|^{2}\rangle,$$

$$A_{3} := -\langle\sqrt{t}\rho_{t}u \cdot \nabla u, \sqrt{t}u_{t}\rangle,$$

$$A_{4} := -\langle\sqrt{t}\rho u_{t} \cdot \nabla u, \sqrt{t}u_{t}\rangle.$$

In order to estimate A_1, A_2, A_3, A_4 , we proceed as follows. Noticing that

$$A_{1} = \frac{1}{2} \|\sqrt{\rho}(\dot{u} - u \cdot \nabla u)\|_{L^{2}(\mathbb{R}^{3})}^{2}$$

$$\leq \|\sqrt{\rho}\dot{u}\|_{L^{2}(\mathbb{R}^{d})}^{2} + \rho_{*}\|u\|_{L^{\infty}(\mathbb{R}^{3})}^{2} \|\nabla u\|_{L^{2}(\mathbb{R}^{3})}^{2}.$$

Using the equation $\dot{\rho} = \partial_t \rho + u \cdot \nabla \rho = \partial_t \rho + \nabla \cdot (\rho u) = 0$, we write $A_2 = -\langle t\rho u, \nabla (|u_t|^2) \rangle$

and

$$|A_{2}| \leq 2\sqrt{\rho_{*}} ||u||_{L^{\infty}(\mathbb{R}^{3})} ||\sqrt{\rho t} u_{t}||_{L^{2}(\mathbb{R}^{3})} ||\nabla(\sqrt{t} u_{t})||_{L^{2}(\mathbb{R}^{3})}$$
$$\leq \frac{1}{8} ||\nabla(\sqrt{t} u_{t})||_{L^{2}(\mathbb{R}^{3})}^{2} + C\rho_{*} ||\sqrt{\rho t} u_{t}||_{L^{2}(\mathbb{R}^{3})}^{2} ||u||_{L^{\infty}(\mathbb{R}^{3})}^{2}$$

Similarly, we write

$$A_3 = -\langle t\rho u, \nabla [(u \cdot \nabla u) \cdot u_t] \rangle.$$

and decompose

$$\begin{aligned} A_3| &\leq \langle |t\rho u|, |\nabla u|^2 |u_t| \rangle + \langle |t\rho u|, |u| |\nabla^2 u| |u_t| \rangle + \langle |t\rho u|, |u| |\nabla u| |\nabla u_t| \rangle \\ &=: A_{31} + A_{32} + A_{33}. \end{aligned}$$

Thanks to inequality (2.1) and Young's inequality

$$A_{31} \leq \rho_* \sqrt{T} \|\sqrt{t} u_t\|_{L^6(\mathbb{R}^3)} \|u\|_{L^6(\mathbb{R}^3)} \|\nabla u\|_{L^3(\mathbb{R}^3)}^2$$

$$\leq C\rho_* \sqrt{T} \|\nabla(\sqrt{t} u_t)\|_{L^2(\mathbb{R}^3)} \|\nabla u\|_{L^2(\mathbb{R}^3)}^2 \|\nabla^2 u\|_{L^2(\mathbb{R}^3)}^2$$

$$\leq \frac{1}{8} \|\nabla(\sqrt{t} u_t)\|_{L^2(\mathbb{R}^3)}^2 + C\rho_*^2 T \|\nabla u\|_{L^2(\mathbb{R}^3)}^4 \|\nabla^2 u\|_{L^2(\mathbb{R}^3)}^2.$$

As for A_{32}, A_{33} , we have

$$A_{32} \leq \sqrt{\rho_* T} \|\sqrt{\rho t} u_t\|_{L^2(\mathbb{R}^3)} \|u\|_{L^\infty(\mathbb{R}^3)}^2 \|\nabla^2 u\|_{L^2(\mathbb{R}^3)} \leq \|\sqrt{\rho t} u_t\|_{L^2(\mathbb{R}^3)}^2 \|u\|_{L^\infty(\mathbb{R}^3)}^4 + \rho_* T \|\nabla^2 u\|_{L^2(\mathbb{R}^3)}^2$$

and

$$A_{33} \leq \rho_* \sqrt{T} \|u\|_{L^{\infty}(\mathbb{R}^3)}^2 \|\nabla u\|_{L^2(\mathbb{R}^3)} \|\nabla (\sqrt{t}u_t)\|_{L^2(\mathbb{R}^3)}$$

$$\leq \frac{1}{8} \|\nabla (\sqrt{t}u_t)\|_{L^2(\mathbb{R}^3)}^2 + C\rho_*^2 T \|u\|_{L^{\infty}(\mathbb{R}^3)}^4 \|\nabla u\|_{L^2(\mathbb{R}^3)}^2.$$

To handle A_4 , we use inequality (2.1) and the Gagliardo-Nirenberg inequality (A.1) to get

$$|A_{4}| \leq \sqrt{\rho_{*}} \|\sqrt{\rho t} u_{t}\|_{L^{2}(\mathbb{R}^{3})} \|\nabla u\|_{L^{3}(\mathbb{R}^{3})} \|\sqrt{t} u_{t}\|_{L^{6}(\mathbb{R}^{3})}$$

$$\leq \sqrt{\rho_{*}} \|\sqrt{\rho t} u_{t}\|_{L^{2}(\mathbb{R}^{3})} \|\nabla u\|_{L^{2}(\mathbb{R}^{3})}^{\frac{1}{2}} \|\nabla^{2} u\|_{L^{2}(\mathbb{R}^{3})}^{\frac{1}{2}} \|\nabla(\sqrt{t} u_{t})\|_{L^{2}(\mathbb{R}^{3})}$$

$$\leq \frac{1}{8} \|\nabla(\sqrt{t} u_{t})\|_{L^{2}(\mathbb{R}^{3})}^{2} + C\rho_{*} \|\sqrt{\rho t} u_{t}\|_{L^{2}(\mathbb{R}^{3})}^{2} \|\nabla u\|_{L^{2}(\mathbb{R}^{3})} \|\nabla^{2} u\|_{L^{2}(\mathbb{R}^{3})}$$

By putting all the above estimates into (2.10), we find that

$$(2.11)
\frac{d}{dt} \|\sqrt{\rho t} u_t\|_{L^2(\mathbb{R}^3)}^2 + \|\nabla(\sqrt{t} u_t)\|_{L^2(\mathbb{R}^3)}^2 \leq \|\sqrt{\rho t} u_t\|_{L^2(\mathbb{R}^3)}^2 \left(1 + \|u\|_{L^\infty(\mathbb{R}^3)}^4 + \|\nabla u\|_{L^2(\mathbb{R}^3)}\|\nabla^2 u\|_{L^2(\mathbb{R}^3)}\right)
+ C_T \left(\|\sqrt{\rho \dot{u}}\|_{L^2(\mathbb{R}^3)}^2 + (\|u\|_{L^\infty(\mathbb{R}^d)}^4 + 1)\|\nabla u\|_{L^2(\mathbb{R}^3)}^2 + (\|\nabla u\|_{L^2(\mathbb{R}^3)}^4 + 1)\|\nabla^2 u\|_{L^2(\mathbb{R}^3)}^2\right).$$

Using (2.3) and Remark 2.2 again, it is not difficult to conclude that inequality (2.11)can be rewritten as

$$\frac{d}{dt} \|\sqrt{\rho t} u_t\|_{L^2(\mathbb{R}^3)}^2 + \|\nabla(\sqrt{t} u_t)\|_{L^2(\mathbb{R}^3)}^2 \le B_{3d}(t) \|\sqrt{\rho t} u_t\|_{L^2(\mathbb{R}^3)}^2 + B_{3d}(t)$$

for some function $B_{3d} \in L^1(0,T)$, the norm of which bounded only in terms of time T, ρ_* and norms $\|\sqrt{\rho_0}u_0\|_{L^2(\mathbb{R}^3)}, \|\nabla u_0\|_{L^2(\mathbb{R}^3)}.$

Finally, Gronwall's lemma implies that for all $t \in [0, T]$

(2.12)
$$\|\sqrt{\rho t}u_t\|_{L^2(\mathbb{R}^3)}^2 + \int_0^T \|\nabla(\sqrt{t}u_t)\|_{L^2(\mathbb{R}^3)}^2 ds \le \int_0^T B_{3d}(t) dt \exp\left(\int_0^T B_{3d}(t) dt\right).$$

This completes the proof of proposition 2.3.

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2.1.3. Shift of regularity. As a consequence of Proposition 2.3, we will get higher-order estimates for the velocity, via considering the following multi-dimensional stationary Stokes problem

(2.13)
$$\begin{cases} -\Delta(\sqrt{t}u) + \nabla(\sqrt{t}P) = -\rho\sqrt{t}(u_t + u \cdot \nabla u) & \text{in } (0,T) \times \mathbb{R}^d, \\ \nabla \cdot (\sqrt{t}u) = 0 & \text{in } (0,T) \times \mathbb{R}^d, \\ \sqrt{t}u \to 0, \quad |x| \to \infty. \end{cases}$$

We have

Proposition 2.4 (higher-order estimates). Let (ρ, u) be a smooth enough solution to system (INS) on $[0, T_*) \times \mathbb{R}^3$. Then for all $T \in [0, T_*)$ it holds that for all $r \in [2, 6]$ and $q \in [2, \frac{4r}{3r-6}]$

$$\|\nabla^2(\sqrt{t}u)\|_{L^q(0,T;L^r(\mathbb{R}^3))} + \|\nabla(\sqrt{t}P)\|_{L^q(0,T;L^r(\mathbb{R}^3))} \le C_{0,T}.$$

Moreover, we have the key Lipschitz estimate

$$\int_0^T \|\nabla u(t,\cdot)\|_{L^{\infty}(\mathbb{R}^3)} \, dt \le C_{0,T} \, T^{\frac{1}{4}}$$

and $C_{0,T} T^{\frac{1}{4}} \to 0$ as $T \to 0$.

Proof. Applying the standard L^p estimates for system (2.13) yields that for all finite p,

(2.14)
$$\|\nabla^2(\sqrt{t}u)\|_{L^p(\mathbb{R}^3)} + \|\nabla(\sqrt{t}P)\|_{L^p(\mathbb{R}^3)} \le C(p)\sqrt{\rho_*}\|\sqrt{\rho t}\dot{u}\|_{L^p(\mathbb{R}^3)}.$$

Now, we estimate the material derivative in the right-hand side of above inequality. On the one hand, we have by inequality (2.1) and Proposition 2.3

$$\int_0^T \|\sqrt{\rho t} u_t\|_{L^6(\mathbb{R}^3)}^2 dt \le \rho_* \int_0^T \|\nabla(\sqrt{t} u_t)\|_{L^2(\mathbb{R}^3)}^2 dt \le C_{0,T}.$$

Interpolating this with the estimate in Proposition 2.3 gives that for all $r \in [2, 6]$ and $q \in [2, \frac{4r}{3r-6}]$

(2.15)
$$\|\sqrt{\rho t}u_t\|_{L^q(0,T;L^r(\mathbb{R}^3)} \le C_{0,T}.$$

In the other hand, taking p = 2 in inequality (2.14) and using Young's inequality yield that

(2.16)

$$\begin{split} \|\nabla^{2}(\sqrt{t}u)\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{3}))} \\ \leq & C(\sqrt{\rho_{*}}\|\sqrt{\rho t}u_{t}\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{3}))} + \rho_{*}\|\sqrt{t}u \cdot \nabla u\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{3}))}) \\ \leq & C(\sqrt{\rho_{*}}\|\sqrt{\rho t}u_{t}\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{3}))} + \rho_{*}\|\sqrt{t}u\|_{L^{\infty}(0,T;L^{\infty}(\mathbb{R}^{3}))}\|\nabla u\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{3}))}) \\ \leq & C(\rho_{*})\left(\|\sqrt{\rho t}u_{t}\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{3}))} + \|(\sqrt{t}\nabla u,\sqrt{t}\nabla^{2}u)\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{3}))}^{\frac{1}{2}}\|\nabla u\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{3}))}\right) \\ \leq & C_{0,T}. \end{split}$$

Then by the Gagliardo-Nirenberg inequality (A.1), Proposition 2.1 and estimate (2.16)

$$\begin{split} \|\sqrt{\rho t} u \cdot \nabla u\|_{L^{2}(0,T;L^{6}(\mathbb{R}^{3}))} &\leq \sqrt{\rho_{*}} \left(\int_{0}^{T} \|u\|_{L^{\infty}(\mathbb{R}^{3})}^{2} \|\sqrt{t} \nabla u\|_{L^{6}(\mathbb{R}^{3})}^{2} dt \right)^{1/2} \\ &\leq \sqrt{\rho_{*}} \left(\int_{0}^{T} \|\nabla u\|_{L^{2}(\mathbb{R}^{3})} \|\nabla^{2} u\|_{L^{2}(\mathbb{R}^{3})} \|\sqrt{t} \nabla u\|_{L^{6}(\mathbb{R}^{3})}^{2} dt \right)^{1/2} \\ &\leq \sqrt{\rho_{*}} \left(\int_{0}^{T} \|\nabla u\|_{L^{2}(\mathbb{R}^{3})} \left(\|\nabla^{2} u\|_{L^{2}(\mathbb{R}^{3})}^{2} + \|\sqrt{t} \nabla u\|_{L^{6}(\mathbb{R}^{3})}^{4} \right) dt \right)^{1/2} \\ &\leq C_{0,T}. \end{split}$$

Notice that the Gagliardo-Nirenberg inequality [26, page 54] and estimate (2.16) give that

$$\begin{split} \|\sqrt{\rho t}u \cdot \nabla u\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{3}))} &\leq \|\sqrt{\rho t}u\|_{L^{\infty}(0,T;L^{\infty}(\mathbb{R}^{3}))} \|\nabla u\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{3}))} \\ &\leq \sqrt{\rho_{*}} \|\sqrt{t}u\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{3}))}^{\frac{1}{2}} \|\sqrt{t}\nabla^{2}u\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{3}))}^{\frac{1}{2}} \|\nabla u\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{3}))} \\ &\leq C_{0,T}. \end{split}$$

Hence, by interpolation, for all $r \in [2, 6]$ and $q \in [2, \frac{4r}{3r-6}]$

$$\|\sqrt{\rho t}u \cdot \nabla u\|_{L^q(0,T;L^r(\mathbb{R}^2))} \le C_{0,T},$$

which together with (2.15) and (2.14) imply the desired estimate.

Finally, we have by inequality (A.1) again

$$\begin{split} \int_{0}^{T} \|\nabla u\|_{L^{\infty}(\mathbb{R}^{3})} \, dt &\leq \int_{0}^{T} \|\nabla u\|_{L^{2}(\mathbb{R}^{3})}^{\frac{1}{4}} \|\nabla^{2}(\sqrt{t}u)\|_{L^{6}(\mathbb{R}^{3})}^{\frac{3}{4}} t^{-\frac{3}{8}} \, dt \\ &\leq \|\nabla u\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{3}))}^{\frac{1}{4}} \|\nabla^{2}(\sqrt{t}u)\|_{L^{2}(0,T;L^{6}(\mathbb{R}^{3}))}^{\frac{3}{4}} \|t^{-\frac{3}{8}}\|_{L^{\frac{8}{5}}(0,T)} \\ &\leq C_{0,T} \, T^{\frac{1}{4}}. \end{split}$$

It remains to check in the proof of Proposition 2.3 that $C_{0,T}$ is uniformly bounded as $T \to 0$. This completes the proof of Proposition 2.4.

2.2. **Proof of existence.** One can now turn to the proof of the existence of a solution stated in Theorem B. Denoting by T^{ε} the maximal time of existence for approximate solutions $(\rho^{\varepsilon}, u^{\varepsilon})$, then [49, Theorem 1.4] shows that $T^{\varepsilon} \geq T_0$. On the other hand, in the case the smallness condition (1.8) is satisfied, one can continue $(\rho^{\varepsilon}, u^{\varepsilon})$ globally-intime thanks to the $L_t^1 Lip_x$ estimate in Proposition 2.4 and the blow-up criterion in [13, Theorem 0.4] or [10, Theorem 4]. It remains to prove the convergence of approximate solutions. At this stage, with all the estimates established in the previous subsection, the standard compactness argument yields that, up to a subsequence,

$$\rho^{\varepsilon} \rightharpoonup \rho \quad \text{weak} * \text{ in } L^{\infty}(\mathbb{R}_{+} \times \mathbb{R}^{3}) \quad \text{and} \quad 0 \leq \rho \leq \rho_{*},$$
$$\nabla u^{\varepsilon} \rightharpoonup \nabla u \quad \text{weak in } L^{2}(\mathbb{R}_{+}; L^{2}(\mathbb{R}^{3}),$$
$$u^{\varepsilon} \rightharpoonup u \quad \text{weak in } L^{2}(\mathbb{R}_{+}; L^{6}(\mathbb{R}^{3}),$$

for some (ρ, u) satisfies all the regularity results stated in Theorem B, except for the regularities of non-linear term $\sqrt{\rho}u_t$. The compactness result obtained in [22] then shows that

 $\rho^{\varepsilon} \to \rho \quad \text{in } \mathcal{C}_{\text{loc}}(\mathbb{R}_+; L^q_{\text{loc}}(\mathbb{R}^3)), \quad \forall q \in [0, \infty)$

and

$$\sqrt{\rho^{\varepsilon}}u^{\varepsilon} \to \sqrt{\rho}u$$
 in $L^2_{\text{loc}}(\mathbb{R}_+ \times \mathbb{R}^3)$.

From which, we know from Proposition 2.3 that $\sqrt{\rho^{\varepsilon}}u_t^{\varepsilon} \rightharpoonup \sqrt{\rho}u_t$ weak in $\mathcal{D}'((0, T_0) \times \mathbb{R}^3)$ and thus $\sqrt{\rho}u_t \in L^2(0, T_0; L^2(\mathbb{R}^3))$ thanks to the uniform bounds (2.3). Similarly, one has $\sqrt{\rho t}u_t \in L^{\infty}(0, T_0; L^2(\mathbb{R}^3))$.

Finally, all the compactness information above is enough to justify that the couple (ρ, u) is a weak solution to (INS) in the sense of distributions. This finishes the proof of the existence part of Theorem B.

3. Proof of the existence results in the two-dimensional case, Theorem A

This section is concerned with the proof of the existence part in Theorem A (2D case). The initial data (ρ_0, u_0) satisfies condition (1.2) and (1.7), and $\nabla u_0 \in L^2(\mathbb{R}^2), \nabla \cdot u_0 = 0$. We analyse separately the case when: (i) (aNV) or (VB) is satisfied, see Subsection 3.1 below, (ii) (FFV-2) is satisfied, see Subsection 3.2. The idea is similar to the threedimensional case. We first approximate the data or mollify the equation⁶ and rely on

⁶We use two different approximation procedures depending on which case (i) or (ii) is considered

known existence results for smooth approximate solutions without vacuum. Then, we show the persistence of the regularity $u \in \widetilde{\mathcal{D}}^{1,2}(\mathbb{R}^2)$ and other higher-order estimates uniformly for the approximate solutions. The actual existence proof is similar to the one in the three-dimensional case, see Subsection 2.2.

Before going into the details of each case, let us recall an estimate obtained in [43] whose proof is motivated by the work [37] of Li and Xin on the two-dimensional compressible Navier-Stokes equations with vacuum. For the reader's convenience, we provide its proof here and mention in particular that it is valid for our approximate solutions constructed in the follow-up subsections.

Proposition 3.1 (gradient estimate; [43, Lemma 3.2]). There exists a universal constant C > 0 such that for all t > 0,

(3.1)
$$\|\nabla u(t,\cdot)\|_{L^2(\mathbb{R}^2)}^2 + 2\int_0^t \|(\sqrt{\rho}\dot{u},\Delta u,\nabla P)(s,\cdot)\|_{L^2(\mathbb{R}^2)}^2 ds$$

 $\leq \|\nabla u_0\|_{L^2(\mathbb{R}^2)}^2 \exp(C\rho_*\|\sqrt{\rho_0}u_0\|_{L^2(\mathbb{R}^2)}^2).$

Proof. The main idea is to test the momentum equation against \dot{u} . This gives that

(3.2)
$$\frac{1}{2}\frac{d}{dt}\|\nabla u\|_{L^2(\mathbb{R}^2)}^2 + \|\sqrt{\rho}\dot{u}\|_{L^2(\mathbb{R}^2)}^2 = \langle\Delta u, u\cdot\nabla u\rangle + \langle P, \operatorname{div}(u\cdot\nabla u)\rangle$$

Using that $\nabla \cdot u = 0$ we have $\Delta u = \nabla^{\perp} (\nabla^{\perp} \cdot u)$ with $\nabla^{\perp} := (-\partial_2, \partial_1)$, and thus

$$\langle \Delta u, u \cdot \nabla u \rangle = \langle \nabla^{\perp} \cdot u, u \cdot \nabla (\nabla^{\perp} \cdot u) \rangle = 0.$$

Meanwhile, using $\nabla \cdot u = 0$ again, by the well-known duality between BMO(\mathbb{R}^2) and the Hardy space $\mathcal{H}^1(\mathbb{R}^2)$ and the following inequality (see for instance [42]), there exists a universal positive constant C such that for all vectors $w, z \in L^2(\mathbb{R}^2; \mathbb{R}^2)$ satisfying $\nabla \cdot w = \nabla^{\perp} z = 0$,

(3.3)
$$\|w \cdot z\|_{\mathcal{H}^1(\mathbb{R}^2)} \le C \|w\|_{L^2(\mathbb{R}^2)} \|z\|_{L^2(\mathbb{R}^2)},$$

we have

$$\begin{aligned} |\langle P, \operatorname{div} (u \cdot \nabla u) \rangle| &\leq \sum_{j=1,2} |\langle P, \partial_j u \cdot \nabla u^j \rangle| \\ &\leq \sum_{j=1,2} ||P||_{\operatorname{BMO}(\mathbb{R}^2)} ||\partial_j u \cdot \nabla u^j||_{\mathcal{H}^1(\mathbb{R}^2)} \\ &\leq ||P||_{\operatorname{BMO}(\mathbb{R}^2)} ||\nabla u||_{L^2(\mathbb{R}^2)}^2 \\ &\leq C ||\nabla P||_{L^2(\mathbb{R}^2)} ||\nabla u||_{L^2(\mathbb{R}^2)}^2. \end{aligned}$$

Notice that in the last inequality we used the embedding $\dot{H}^1(\mathbb{R}^2) \hookrightarrow BMO(\mathbb{R}^2)$ with a numerical constant C.

Plugging the above inequalities into (3.2) and noticing that

$$\|(\Delta u, \nabla P)\|_{L^{2}(\mathbb{R}^{2})}^{2} \leq \|\rho \dot{u}\|_{L^{2}(\mathbb{R}^{2})}^{2} \leq \rho_{*} \|\sqrt{\rho} \dot{u}\|_{L^{2}(\mathbb{R}^{2})}^{2},$$

we obtain (3.1) from the energy inequality (1.3).

Notice that this result does not require any of the assumptions (aNV), (VB) nor (FFV-2).

3.1. The case when (aNV) or (VB) is satisfied.

3.1.1. Approximation procedure. As in the three-dimensional case, we regularize the initial data. We require that the approximate initial density ρ_0^{ε} satisfies (aNV) or (VB) whenever ρ_0 satisfies (aNV) or (VB). Therefore, we construct a sequence ρ_0^{ε} such that $\rho_0^{\varepsilon} \ge \rho_0$. To do this we build the approximation on the sequence of balls B_n , $n \to \infty$.

Let $\varepsilon > 0$ and $n \in \mathbb{N}$, $n \ge 1$. Let K_{ε} denote a non-negative mollifier. We approximate the initial velocity u_0 by $u_0^{\varepsilon,n} \in \mathcal{C}_0^{\infty}(B_n)$ in the following way

$$u_0^{\varepsilon,n} = (u_0\varphi_n) \star K_{\varepsilon}$$

where $\varphi_n = \varphi(\cdot/n)$ with $\varphi \in \mathcal{C}_0^{\infty}(B_1)$ a cut-off function such that $\varphi = 1$ on $B_{1/2}$. As for the density, we approximate it in the following way on B_n

$$\rho_0^{\varepsilon,n} := \rho_0 \star K_{\varepsilon} + \|\rho_0 \star K_{\varepsilon} - \rho_0\|_{L^{\infty}(B_n)} + \frac{1}{n} e^{-|x|^2}$$

Notice that

$$\rho_0^{\varepsilon,n} \in \mathcal{C}^{\infty}(\mathbb{R}^2) \text{ with } \frac{1}{n} e^{-n^2} \le \rho_0^{\varepsilon} \le 2\rho_* \text{ and } u_0^{\varepsilon,n} \in \mathcal{C}_0^{\infty}(\mathbb{R}^2) \text{ with } \nabla \cdot u_0^{\varepsilon,n} = 0.$$

Moreover, $\|\rho_0 \star K_{\varepsilon} - \rho_0\|_{L^{\infty}(B_n)} \longrightarrow 0$ when $\varepsilon \to 0^+$ and $\rho_0^{\varepsilon,n} \ge \rho_0$. Therefore if (aNV) (resp. (VB)) is satisfied for ρ_0 it is also satisfied for $\rho_0^{\varepsilon,n}$.

We consider the solutions $(\rho^{\varepsilon,n}, u^{\varepsilon,n})$ to the following mollified version of (INS)

(INS-
$$\varepsilon$$
)
$$\begin{cases} \partial_t \rho^{\varepsilon,n} + \nabla \cdot \left(\rho^{\varepsilon,n} (K_{\varepsilon} \star u^{\varepsilon,n}) \right) = 0, \\ \partial_t (\rho u^{\varepsilon,n}) + \nabla \cdot \left(\rho^{\varepsilon,n} (K_{\varepsilon} \star u^{\varepsilon,n}) \otimes u^{\varepsilon,n} \right) + \nabla P^{\varepsilon,n} = \nu \Delta u^{\varepsilon,n}, \\ \nabla \cdot u^{\varepsilon,n} = 0. \end{cases}$$

in the domain B_n with no-slip boundary condition $u^{\varepsilon,n} = 0$ on ∂B_n and initial data $(\rho_0^{\varepsilon,n}, u_0^{\varepsilon,n})$. According to [42, Theorem 2.6], there exists a smooth global-in-time solution $(\rho^{\varepsilon,n}, u^{\varepsilon,n}) \in \mathcal{C}^{\infty}([0,\infty) \times \overline{B}_n)$ to (INS- ε).

Our objective is now to derive uniform estimates in ε and n for the approximate solutions $(\rho^{\varepsilon,n}, u^{\varepsilon,n})$. For notational simplicity, we drop the superscripts ε and n below and simply write the solution (ρ, u) . Notice that all the estimates below are on B_n .⁷

3.1.2. Lower-order estimates. Here, we establish some lower-order bounds following from condition (aNV) or (VB) for ρ_0 .

Proposition 3.2 $(L_t^{\infty}L_x^2)$ bound for the velocity). We have

(3.4)
$$\|u\|_{L^{\infty}(\mathbb{R}_{+};L^{2}(B_{n}))} \leq C_{*}(\|\sqrt{\rho_{0}}u_{0}\|_{L^{2}(\mathbb{R}^{2})} + \|\nabla u_{0}\|_{L^{2}(\mathbb{R}^{2})})$$

(3.5)

$$\|u\|_{L^{4}(\mathbb{R}_{+};L^{\infty}(B_{n}))} \leq C_{*} \left(\|\sqrt{\rho_{0}}u_{0}\|_{L^{2}(B_{n})} + \|\nabla u_{0}\|_{L^{2}(B_{n})} \right)^{2} \|\nabla u_{0}\|_{L^{2}(\mathbb{R}^{2})}^{2} \exp(\|\sqrt{\rho_{0}}u_{0}\|_{L^{2}(\mathbb{R}^{2})}^{2})$$

with positive constant C_{*} depending only on the factors in condition (aNV) or (VB).

⁷Notice that as in the previous subsection, the estimates that do not involve second-order space derivatibles or first-order time derivatives of the velocity can be extended to \mathbb{R}^2 thanks to the fact that $u^{\varepsilon,n}$ satisfies no-slip boundary conditions on ∂B_n .

Proof. Using the Lagrangian flow maps and the fact that they are measure preserving due to incompressibility, ρ satisfies (aNV) or (VB) along the evolution with the same constants d, δ_0 and $\|(1/\eta) \mathbf{1}_{\eta < \delta_0}\|_{L^1}$ in the case when (aNV) is satisfied, p, d, $\bar{\eta}$ and $\|(\bar{\eta} - \eta)_+\|_{L^p}$ in the case when (VB). Then by Proposition A.6 and (3.1), we get

$$\begin{aligned} \|u\|_{L^{\infty}(\mathbb{R}_{+};L^{2}(B_{n}))} &\leq C_{*}(\|\sqrt{\rho}u\|_{L^{2}(B_{n})} + \|\nabla u\|_{L^{2}(B_{n})}) \\ &\leq C_{*}(\|\sqrt{\rho_{0}}u_{0}\|_{L^{2}(\mathbb{R}^{2})} + \|\nabla u_{0}\|_{L^{2}(\mathbb{R}^{2})}). \end{aligned}$$

Using (3.4) and (3.1), we further get

$$\begin{split} &\int_{0}^{\infty} \|u(t,\cdot)\|_{L^{\infty}(B_{n})}^{4} dt \\ &\leq \int_{0}^{\infty} \|u\|_{L^{2}(B_{n})}^{2} \|\nabla^{2}u\|_{L^{2}(B_{n})}^{2} dt \\ &\leq C_{*} \left(\|\sqrt{\rho_{0}}u_{0}\|_{L^{2}(\mathbb{R}^{2})} + \|\nabla u_{0}\|_{L^{2}(\mathbb{R}^{2})}\right)^{2} \|\nabla^{2}u\|_{L^{2}(\mathbb{R}_{+};L^{2}(R_{n}))}^{2} \\ &\leq C_{*} \left(\|\sqrt{\rho_{0}}u_{0}\|_{L^{2}(\mathbb{R}^{2})} + \|\nabla u_{0}\|_{L^{2}(\mathbb{R}^{2})}\right)^{2} \|\nabla u_{0}\|_{L^{2}(\mathbb{R}^{2})}^{2} \exp(\|\sqrt{\rho_{0}}u_{0}\|_{L^{2}(\mathbb{R}^{2})}^{2}). \quad \Box \end{split}$$

3.1.3. Estimates of the time derivative. In this step, we want to bound time derivatives $\sqrt{\rho t}u_t$ in $L^{\infty}_{\text{loc}}(\mathbb{R}_+; L^2(B_n))$ and $\sqrt{t}\nabla u_t$ in $L^2_{\text{loc}}(\mathbb{R}_+; L^2(B_n))$, since it is an important step towards higher-order spatial estimates for the velocity.

Proposition 3.3 (time derivative estimates). For any T > 0, there exits a positive constant $C_{0,*}(T)$ depending only on C_*, T, ρ_* and $\|\sqrt{\rho_0}u_0\|_{L^2}, \|\nabla u_0\|_{L^2}$ such that

(3.6)
$$\|\sqrt{\rho t}u_t\|_{L^{\infty}(0,T;L^2(B_n))}^2 + \|\nabla(\sqrt{t}u_t)\|_{L^2(0,T;L^2(B_n))}^2 \le C_{0,*}(T).$$

Proof. The proof is similar to the three-dimensional case. Recall that by testing the equation (2.9) with $\sqrt{t}u_t$, we are led to

(3.7)
$$\frac{1}{2}\frac{d}{dt}\|\sqrt{\rho t}u_t\|_{L^2}^2 + \|\nabla(\sqrt{t}u_t)\|_{L^2}^2 = A_1 + \dots + A_4$$

with

$$A_{1} = \frac{1}{2} \|\sqrt{\rho}u_{t}\|_{L^{2}(\mathbb{R}^{d})}^{2},$$

$$A_{2} = -\langle t\rho_{t}, |u_{t}|^{2}\rangle,$$

$$A_{3} = -\langle\sqrt{t}\rho_{t}u \cdot \nabla u, \sqrt{t}u_{t}\rangle,$$

$$A_{4} = -\langle\sqrt{t}\rho u_{t} \cdot \nabla u, \sqrt{t}u_{t}\rangle.$$

In order to estimate A_1, A_2, A_3, A_4 , we proceed as follows. Noticing that

$$A_{1} = \frac{1}{2} \|\sqrt{\rho}(\dot{u} - u \cdot \nabla u)\|_{L^{2}(B_{n})}^{2}$$

$$\leq \|\sqrt{\rho}\dot{u}\|_{L^{2}(B_{n})}^{2} + \rho_{*}\|u\|_{L^{\infty}(B_{n})}^{2} \|\nabla u\|_{L^{2}(B_{n})}^{2}.$$

Using the equation $\partial_t \rho + \nabla \cdot (\rho u) = 0$, we write

$$A_2 = -\langle t\rho u, \nabla(|u_t|^2) \rangle$$

and

$$|A_{2}| \leq 2\sqrt{\rho_{*}} ||u||_{L^{\infty}(B_{n})} ||\sqrt{\rho t}u_{t}||_{L^{2}(B_{n})} ||\nabla(\sqrt{t}u_{t})||_{L^{2}(B_{n})}$$

$$\leq \frac{1}{8} ||\nabla(\sqrt{t}u_{t})||_{L^{2}(B_{n})}^{2} + C\rho_{*} ||\sqrt{\rho t}u_{t}||_{L^{2}(B_{n})}^{2} ||u||_{L^{\infty}(B_{n})}^{2}.$$

Similarly, we write

$$A_3 = -\langle t\rho u, \nabla [(u \cdot \nabla u) \cdot u_t] \rangle,$$

and decompose

$$\begin{aligned} A_3| &\leq \langle |t\rho u|, |\nabla u|^2 |u_t| \rangle + \langle |t\rho u|, |u| |\nabla^2 u| |u_t| \rangle + \langle |t\rho u|, |u| |\nabla u| |\nabla u_t| \rangle \\ &=: A_{31} + A_{32} + A_{33}. \end{aligned}$$

To bound A_{31} , we write by the Gagliardo-Nirenberg inequality (A.1)

$$A_{31} \leq \sqrt{\rho_* T} \|\sqrt{\rho t} u_t\|_{L^2(B_n)} \|\nabla u\|_{L^4(B_n)}^2 \|u\|_{L^{\infty}(B_n)}$$

$$\leq \|\sqrt{\rho t} u_t\|_{L^2(B_n)}^2 \|u\|_{L^{\infty}(B_n)}^2 + \rho_* T \|\nabla u\|_{L^4(B_n)}^4$$

$$\leq \|\sqrt{\rho t} u_t\|_{L^2(B_n)}^2 \|u\|_{L^{\infty}(B_n)}^2 + \rho_* T \|\nabla u\|_{L^2(B_n)}^2 \|\nabla^2 u\|_{L^2(B_n)}^2.$$

As for A_{32}, A_{33} , we have

$$A_{32} \leq \sqrt{\rho_* T} \|\sqrt{\rho t} u_t\|_{L^2(B_n)} \|u\|_{L^{\infty}(B_n)}^2 \|\nabla^2 u\|_{L^2(B_n)}$$

$$\leq \|\sqrt{\rho t} u_t\|_{L^2(B_n)}^2 \|u\|_{L^{\infty}(B_n)}^4 + \rho_* T \|\nabla^2 u\|_{L^2(B_n)}^2$$

and

$$A_{33} \leq \rho_* \sqrt{T} \|u\|_{L^{\infty}(B_n)}^2 \|\nabla u\|_{L^2(B_n)} \|\nabla (\sqrt{t}u_t)\|_{L^2(B_n)}$$

$$\leq \frac{1}{8} \|\nabla (\sqrt{t}u_t)\|_{L^2(B_n)}^2 + C\rho_*^2 T \|u\|_{L^{\infty}(B_n)}^4 \|\nabla u\|_{L^2(B_n)}^2.$$

To handle A_4 , we need to use Proposition A.6. More precisely, since condition (aNV) or (VB) is also satisfied by ρ , so the functional inequality (A.8) (taking $\eta = \sqrt{t}u_t$) yields that

(3.8)
$$\|\sqrt{t}u_t\|_{L^2(B_n)} \le C_* \Big(\|\sqrt{\rho t}u_t\|_{L^2(B_n)} + \|\nabla(\sqrt{t}u_t)\|_{L^2(B_n)}\Big).$$

Then for all $r \in [2, \infty)$, we have by interpolation inequality

$$\begin{aligned} \|\sqrt{t}u_t\|_{L^r(B_n)} &\leq C(\|\sqrt{t}u_t\|_{L^2(B_n)} + \|\sqrt{t}\nabla u_t\|_{L^2(B_n)}) \\ &\leq C_*(\|\sqrt{\rho t}u_t\|_{L^2(B_n)} + \nabla(\sqrt{t}u_t)\|_{L^2(B_n)}), \end{aligned}$$

which implies that

$$\begin{aligned} |A_4| &\leq \|\sqrt{\rho t} u_t\|_{L^4(B_n)}^2 \|\nabla u\|_{L^2(B_n)} \\ &\leq \|\sqrt{\rho t} u_t\|_{L^2(B_n)}^{\frac{1}{2}} \|\sqrt{\rho t} u_t\|_{L^2(B_n)}^{\frac{3}{2}} \|\nabla u\|_{L^2(B_n)} \\ &\leq C_* \rho_*^{\frac{3}{4}} \|\sqrt{\rho t} u_t\|_{L^2(B_n)}^{\frac{1}{2}} (\|\sqrt{\rho t} u_t\|_{L^2(B_n)} + \|\nabla(\sqrt{t} u_t)\|_{L^2(B_n)})^{\frac{3}{2}} \|\nabla u\|_{L^2(B_n)} \\ &\leq C_* \rho_*^{\frac{3}{4}} \|\sqrt{\rho t} u_t\|_{L^2(B_n)}^{\frac{1}{2}} \|\nabla u\|_{L^2(B_n)} + C_* \rho_*^{3} \|\sqrt{\rho t} u_t\|_{L^2(B_n)}^{\frac{2}{2}} \|\nabla u\|_{L^2(B_n)}^{\frac{4}{2}}. \end{aligned}$$

Finally, by plugging all the above estimates of A_i (i = 1, ..., 4) into (3.7), we get

$$(3.9)
\frac{d}{dt} \|\sqrt{\rho t} u_t\|_{L^2(B_n)}^2 + \|\nabla(\sqrt{t} u_t)\|_{L^2(B_n)}^2 \\
\leq \|\sqrt{\rho t} u_t\|_{L^2(B_n)}^2 \left(1 + \|u\|_{L^{\infty}(B_n)}^4 + \|\nabla u\|_{L^2(B_n)}^2 + \|\nabla u\|_{L^2(B_n)}^4\right) \\
+ C_{0,*}(T) \left(\|\sqrt{\rho} u_t\|_{L^2(B_n)}^2 + (\|u\|_{L^{\infty}(B_n)}^4 + 1)\|\nabla u\|_{L^2(B_n)}^2 + (\|\nabla u\|_{L^2(B_n)}^2 + 1)\|\nabla^2 u\|_{L^2(B_n)}^2\right)$$

To conclude, thanks to inequalities (3.1) and (3.5), it is not difficult to find that inequality (3.9) can be rewritten as

$$\frac{d}{dt} \|\sqrt{\rho t} u_t\|_{L^2(B_n)}^2 + \|\nabla(\sqrt{t} u_t)\|_{L^2(B_n)}^2 \le B_{2d}(t) \|\sqrt{\rho t} u_t\|_{L^2(B_n)}^2 + B_{2d}(t)$$

for some function $B_{2d} \in L^1(0,T)$, the norm of which bounded only in terms of C_*, T , ρ_* and norms $\|\sqrt{\rho_0}u_0\|_{L^2(B_n)}, \|\nabla u_0\|_{L^2(B_n)}$.

Therefore, Gronwall's lemma implies that for all $t \in [0, T]$

$$\|\sqrt{\rho t}u_t\|_{L^2}^2 + \int_0^T \|\nabla(\sqrt{t}u_t)\|_{L^2}^2 dt \le \int_0^T B_{2d}(t) dt \exp\left(\int_0^T B_{2d}(t) dt\right).$$

This completes the proof of proposition 3.3.

3.1.4. Shift of regularity. As a consequence of Proposition 3.3, we will get higher-order estimates for the velocity, via considering the Stokes problem (2.13). We have

Proposition 3.4 (higher-order estimates). Let T > 0. For all $r \in [2, \infty)$ and $q \in [2, \frac{2r}{r-2})$, we have

(3.10)
$$\|\nabla^2(\sqrt{t}u)\|_{L^q(0,T;L^r(B_n))} + \|\nabla(\sqrt{t}P)\|_{L^q(0,T;L^r(B_n))} \le C_{0,*}(T).$$

Moreover, we have the following key Lipschitz estimate

(3.11)
$$\int_0^T \|\nabla u(t,\cdot)\|_{L^{\infty}(\mathbb{R}^2)} dt \le C_{0,*}(T)T^{\frac{1}{3}}$$

In particular, $C_{0,*}(T)T^{\frac{1}{3}} \to 0$ as $T \to 0$.

Proof. Applying the standard L^p estimates for system (2.13), one gets for all finite p,

(3.12)
$$\|\nabla^2(\sqrt{t}u)\|_{L^p(B_n)} + \|\nabla(\sqrt{t}P)\|_{L^p(B_n)} \le C(p)\sqrt{\rho_*}\|\sqrt{\rho t}\dot{u}\|_{L^p(B_n)}$$

To estimate the material derivative in the right-hand side of above inequality, we use the Gagliardo-Nirenberg inequality (A.1) and (3.38) to write that for all $\bar{r} \in [2, \infty)$

$$\begin{split} \int_0^T \|\sqrt{\rho t} u_t\|_{L^{\bar{r}}(B_n)}^2 \, dt &\leq \int_0^T \rho_* (\|\sqrt{t} u_t\|_{L^2(B_n)} + \|\nabla(\sqrt{t} u_t)\|_{L^2(B_n)})^2 \, dt \\ &\leq C_* \rho_* \int_0^T \left(\|\sqrt{\rho t} u_t\|_{L^2(B_n)}^2 + \|\nabla(\sqrt{t} u_t)\|_{L^2(B_n)}^2\right) \, dt \leq C_{0,*}(T), \end{split}$$

where in the last inequality we used bounds (3.6).

Then, interpolating above inequality with bounds (3.6) yields that for all $r \in [2, \infty)$ and $q \in [2, r^*)$ with $r^* := \frac{2r}{r-2}$, one has

(3.13)
$$\|\sqrt{\rho t}u_t\|_{L^q(0,T;L^r(B_n))} \le C_{0,*}(T).$$

For the convective term, we notice that the Gagliardo-Nirenberg inequality (A.1), (3.1) and Proposition 3.2 imply that for all $\bar{r} \in [2, \infty)$

$$\|\sqrt{\rho t}u\|_{L^{\infty}(0,T;L^{\bar{r}}(B_n))} \leq \sqrt{\rho_*T} \left(\|u\|_{L^{\infty}(0,T;L^2(B_n))} + \|\nabla u\|_{L^{\infty}(0,T;L^2(B_n))}\right) \leq C_{0,*}(T),$$

and for all $\bar{r} \in [2, \infty)$ and $\bar{m} \in [2, \frac{2\bar{r}}{\bar{r}-2}]$

$$\|\nabla u\|_{L^{\bar{m}}(0,T;L^{\bar{r}}(B_n))} \le \|\nabla u\|_{L^{\infty}(0,T;L^2(B_n))} + \|\nabla u\|_{L^2(0,T;\dot{H}^1(B_n))} \le C_{0,*}(T).$$

Thus, for all $r \in [2, \infty)$ and $q \in [2, r^*)$, we write according to the above two inequalities

(3.14)
$$\|\sqrt{\rho t} u \cdot \nabla u\|_{L^q(0,T;L^r(B_n))} \le C_{0,*}(T).$$

Putting estimates (3.13) and (3.14) into (3.12) yields

$$\|\nabla^2(\sqrt{t}u)\|_{L^q(0,T;L^r(B_n))} + \|\nabla(\sqrt{t}P)\|_{L^q(0,T;L^r(B_n))} \le C_{0,*}(T).$$

To prove Lipschitz estimate, we write by inequality (A.1), (3.1) and (3.10) that⁸

$$\begin{split} \int_{0}^{T} \|\nabla u\|_{L^{\infty}(B_{n})} dt &\leq \int_{0}^{T} \|\nabla u\|_{L^{2}(B_{n})}^{\frac{1}{3}} \|\nabla^{2}(\sqrt{t}u)\|_{L^{4}(B_{n})}^{\frac{2}{3}} t^{-\frac{1}{3}} dt \\ &\leq \|\nabla u\|_{L^{\infty}(0,T;L^{2}(B_{n}))}^{\frac{1}{3}} \|\nabla^{2}(\sqrt{t}u)\|_{L^{2}(0,T;L^{4}(B_{n}))}^{\frac{2}{3}} \|t^{-\frac{1}{3}}\|_{L^{\frac{3}{2}}(0,T)} \\ &\leq C_{0,*}(T) T^{\frac{1}{3}}. \end{split}$$

It is easy to notice that $C_{0,*}(T)$ stays bounded as $T \to 0$, thus we complete the proof of Proposition 3.4.

3.2. The case when (FFV-2) is satisfied. This case corresponds to the far-field vacuum.

3.2.1. Approximation procedure. The construction is similar to the one in Section 3. Without loss of generality, we assume that the initial density ρ_0 satisfies $\|\rho_0\|_{L^1(\mathbb{R}^2)} = 1$, which implies that there exists a positive constant N_0 such that

$$\int_{B_{N_0}} \rho_0 \, dx > \frac{1}{2}.$$

We require that the approximate initial density satisfies (FFV-2) whenever the initial density satisfies (FFV-2).

Let $\varepsilon > 0$ and $n \in \mathbb{N}$, $n \ge 1$. Let K_{ε} denote a non-negative mollifier. We approximate the density in the following way on B_n

$$\rho_0^{\varepsilon,n} := \rho_0 \star K_\varepsilon + \frac{1}{n} e^{-|x|^2},$$

⁸Notice that the time-weighted estimate (3.10) enables us to gain smallness in time in the final estimate (3.11).

Notice that

$$\rho_0^{\varepsilon,n} \in \mathcal{C}^{\infty}(\mathbb{R}^2) \text{ with } \frac{1}{n}e^{-n^2} \le \rho_0^{\varepsilon} \le 2\rho_* \text{ and } u_0^{\varepsilon,n} \in \mathcal{C}_0^{\infty}(\mathbb{R}^2) \text{ with } \nabla \cdot u_0^{\varepsilon,n} = 0$$

For $n \in \mathbb{N}$, $n \ge 1$ fixed and for $\varepsilon \to 0^+$,

(3.15)
$$\begin{cases} \int_{B_{N_0}} \rho_0^{\varepsilon,n} \, dx > \frac{1}{4}, \\ \bar{x}^{\alpha} \rho_0^{\varepsilon,n} \rightharpoonup \bar{x}^{\alpha} \rho_0 \quad \text{weak} * \quad \text{in } L^{\infty}(\mathbb{R}^2), \\ \bar{x}^{\alpha} \rho_0^{\varepsilon,n} \rightarrow \bar{x}^{\alpha} \rho_0 \quad \text{in } L^p(\mathbb{R}^2), \quad \forall \ p \in [1,\infty). \end{cases}$$

The construction of an approximate initial velocity $u_0^{\varepsilon,n} \in \mathcal{C}_0^{\infty}(B_n)$ is inspired by [10]. We let $u_0^{\varepsilon,n} \in \mathcal{C}^{\infty}(\overline{B}_n)$ be the solution to

(Approx-compa)
$$-\Delta u_0^{\varepsilon,n} + \nabla P_0^{\varepsilon,n} = \sqrt{\rho_0^{\varepsilon,n}} g^{\varepsilon,n}, \quad \nabla \cdot u_0^{\varepsilon,n} = 0 \quad \text{on} \quad B_n,$$

with no-slip boundary conditions $u_0^{\varepsilon,n} = 0$ on ∂B_n , with $g^{\varepsilon,n} := (g \star K_{\varepsilon})\varphi_n$. Notice that we have the following estimate

(3.16)
$$\|\nabla(u_0^{\varepsilon,n}-u_0)\|_{L^2(B_n)} \leq Cn \|\sqrt{\rho_0^{\varepsilon,n}}g^{\varepsilon,n}-\rho_0g\|_{L^2(B_n)}+n^{-1}\|u_0\|_{L^2(B_n^c)}+\|u_0\|_{H^1(B_n^c)},$$

where we used the rescaled trace inequality

$$n^{-\frac{1}{2}} \|u_0\|_{L^2(\partial B_n)} + \|u_0\|_{H^{\frac{1}{2}}(\partial B_n)} \le n^{-1} \|u_0\|_{L^2(B_n^c)} + \|u_0\|_{H^1(B_n^c)}$$

Furthermore, by the Poincaré-Sobolev inequality [26, estimate II.3.7], we have

(3.17)
$$\begin{aligned} \|u_{0}^{\varepsilon,n}\|_{L^{2}(\mathbb{R}^{2})} &\leq C \|\nabla u_{0}^{\varepsilon,n}\|_{L^{1}(\mathbb{R}^{2})} = C \|\nabla u_{0}^{\varepsilon,n}\|_{L^{1}(B_{n})} \\ &\leq Cn \|\nabla (u_{0}^{\varepsilon,n} - u_{0})\|_{L^{2}(B_{n})} + C \|\nabla u_{0}\|_{L^{1}(\mathbb{R}^{2})} \\ &\leq Cn^{2} \|\sqrt{\rho_{0}^{\varepsilon,n}}g^{\varepsilon,n} - \rho_{0}g\|_{L^{2}(B_{n})} + C \|\nabla u_{0}\|_{L^{1}(\mathbb{R}^{2})} \end{aligned}$$

We rely on (3.16), (3.17) and on the facts that⁹

$$n^{-1} \|u_0\|_{L^2(B_n^c)} + \|u_0\|_{H^1(B_n^c)} \to 0, \qquad n \to \infty$$

and

$$Cn^2 \|\sqrt{\rho_0^{\varepsilon,n}} g^{\varepsilon,n} - \rho_0 g\|_{L^2(B_n)} \to 0, \qquad \varepsilon \to 0$$

when n is fixed, to choose a sequence (ε_k, n_k) on which $(\rho_0^{\varepsilon_k, n_k}, u_0^{\varepsilon_k, n_k})$ have the ad hoc boundedness and convergence properties.

Let $k \in \mathbb{N}$. Assume $n_1 < \ldots < n_{k-1}$ and $\varepsilon_1 > \ldots > \varepsilon_{k-1} > 0$ are constructed. There exists $n_k \in \mathbb{N}$, $n_k > n_{k-1}$

$$||u_0||_{L^2(B_{n_k}^c)} + ||u_0||_{H^1(B_{n_k}^c)} \le \frac{1}{2k}.$$

Then there exists $0 < \varepsilon_k < \varepsilon_{k-1}$ such that

$$Cn_k^2 \|\sqrt{\rho_0^{\varepsilon_k, n_k}} g^{\varepsilon_k, n_k} - \rho_0 g\|_{L^2(B_{n_k})} \le \frac{1}{2k}.$$

⁹Notice that here we use the assumption that u_0 in addition belongs to $L^2(\mathbb{R}^2)$ so that $u_0 \in H^1(\mathbb{R}^2)$.

Hence, for all $k \in \mathbb{N}$,

$$\|\nabla (u_0^{\varepsilon_k, n_k} - u_0)\|_{L^2(B_{n_k})} \le \frac{1}{k},$$

which implies

$$\begin{aligned} \|\nabla(u_0^{\varepsilon_k, n_k} - u_0)\|_{L^2(\mathbb{R}^2)} &= \|\nabla(u_0^{\varepsilon_k, n_k} - u_0)\|_{L^2(B_{n_k})} + \|\nabla u_0\|_{L^2(B_{n_k}^c)} \\ &\leq \frac{1}{k} + \|\nabla u_0\|_{L^2(B_{n_k}^c)} \to 0, \qquad n \to \infty, \end{aligned}$$

 and^{10}

$$\|u_0^{\varepsilon_k, n_k}\|_{L^2(\mathbb{R}^2)} \le C \|\nabla u_0\|_{L^1(\mathbb{R}^2)} + \frac{1}{2}$$

Therefore

$$\|u_{0}^{\varepsilon_{k},n_{k}}\|_{L^{2}(\mathbb{R}^{2})}, \quad \|\sqrt{\rho_{0}^{\varepsilon_{k},n_{k}}}u_{0}^{\varepsilon_{k},n_{k}}\|_{L^{2}(\mathbb{R}^{2})} \quad \text{and} \quad \|\nabla u_{0}^{\varepsilon_{k},n_{k}}\|_{L^{2}(\mathbb{R}^{2})}$$

are bounded uniformly in k by

(3.18)
$$\mathcal{A}_{0} := \rho_{*} + \|\rho_{0}\|_{L^{1}(\mathbb{R}^{2})} + \|\sqrt{\rho_{0}}u_{0}\|_{L^{2}(\mathbb{R}^{2})} + \|\nabla u_{0}\|_{L^{1}(\mathbb{R}^{2})} + \|\nabla u_{0}\|_{L^{2}(\mathbb{R}^{2})}$$

and we have the following convergence properties

$$u_0^{\varepsilon_k, n_k} \to u_0 \quad \text{in } \widetilde{\mathcal{D}}^{1,2}(\mathbb{R}^2), \quad \sqrt{\rho_0^{\varepsilon_k, n_k}} u_0^{\varepsilon_k, n_k} \rightharpoonup \sqrt{\rho_0} u_0 \quad \text{in } L^2(\mathbb{R}^2).$$

Finally, elliptic estimates for the Neumann boundary value problem imply

(3.19)
$$\|\nabla P_0^{\varepsilon_k, n_k}\|_{L^2(B_{n_k})} \le C \|\sqrt{\rho_0^{\varepsilon_k, n_k}} g^{\varepsilon_k, n_k}\|_{L^2(B_{n_k})} \le C \|\sqrt{\rho_0} g\|_{L^2(\mathbb{R}^2)} + \frac{1}{2},$$

with C uniform in k, and by (Approx-compa) and maximal regularity for the stationary Stokes system, we have

(3.20)
$$\|\nabla^2 u^{\varepsilon_k, n_k}\|_{L^2(B_{n_k})} \le C \|\sqrt{\rho_0^{\varepsilon_k, n_k}} g^{\varepsilon_k, n_k}\|_{L^2(B_{n_k})} \le C \|\sqrt{\rho_0} g\|_{L^2(\mathbb{R}^2)} + \frac{1}{2}.$$

We consider the solutions (ρ^k, u^k) to the following mollified version of (INS)

(INS-
$$\varepsilon_k$$
)
$$\begin{cases} \partial_t \rho^k + \nabla \cdot \left(\rho^k (K_{\varepsilon_k} \star u^k) \right) = 0, \\ \partial_t (\rho^k u^k) + \nabla \cdot \left(\rho^k (K_{\varepsilon_k} \star u^k) \otimes u^k \right) + \nabla P^k = \nu \Delta u^k, \\ \nabla \cdot u^k = 0. \end{cases}$$

in the domain B_{n_k} with no-slip boundary condition $u^k = 0$ on ∂B_{n_k} and initial data

$$(\rho_0^k, u_0^k) := (u_0^{\varepsilon_k, n_k}, \rho_0^{\varepsilon_k, n_k}).$$

According to [42, Theorem 2.6], there exists a smooth global-in-time solution $(\rho^k, u^k) \in \mathcal{C}^{\infty}([0, \infty) \times \overline{B}_{n_k})$ to (INS- ε).

Our objective is now to derive uniform estimates in k for the approximate solutions (ρ^k, u^k) . Notice that all the estimates below are on B_{n_k} .¹¹

¹⁰Remark that here the assumption that $\nabla u_0 \in L^1(\mathbb{R}^2)$ plays a key role.

¹¹See comment in Footnote 7.

3.2.2. First uniform estimates. Since (ρ^k, u^k) belongs to $\mathcal{C}^{\infty}([0, \infty) \times \overline{B}_{n_k})$ and satisfies the no-slip boundary condition $u^k = 0$ on ∂B_{n_k} , we have all the estimates from the proof of [43, Theorem 1.1]. More precisely, we have the following strengthened version of Proposition 3.1 that in addition to gradient estimates provides time-weighted estimates for the convective derivative.

Proposition 3.5 (gradient and convective-derivative estimates; [43, Lemma 3.2, 3.2 and 3.4]). There exists a positive C_0 depending only on \mathcal{A}_0 defined by (3.18) such that $0 \leq \rho^k \leq \rho_*$ and

$$(3.21) \quad \|(\sqrt{\rho^k}u^k, \nabla u^k)\|_{L^{\infty}(\mathbb{R}_+; L^2(B_{n_k}))}^2 + \|(\nabla u^k, \sqrt{\rho^k}\dot{u}^k, \Delta u^k, \nabla P^k)\|_{L^2(\mathbb{R}_+ \times B_{n_k})}^2 \le C_0$$

and for all T > 0 it holds that

(3.22)
$$\sup_{t \in [0,T]} \|\sqrt{\rho^k t} \dot{u}^k\|_{L^2(B_{n_k})}^2 + \int_0^T \|\sqrt{t} \nabla \dot{u}^k\|_{L^2(B_{n_k})}^2 dt \le C_0$$

Moreover,

(3.23)
$$\inf_{t \in [0,T]} \int_{B_{N_1}} \rho^k(t,x) \, dx \ge \frac{1}{4},$$

for some positive constant N_1 depending only on $\|\rho_0\|_{L^1(\mathbb{R}^2)}, \|\sqrt{\rho_0}u_0\|_{L^2(\mathbb{R}^2)}, N_0$ and T.

Remark that (3.21) is a combination of estimates [43, (3.4), Lemma 3.2 and Lemma 3.3], (3.22) follows from [43, Lemma 3.3] and (3.23) follows from [43, (3.24)].

At this stage, we want to shift the regularity in order to get $L_t^1 Lip_x$ estimate for the velocity. To achieve it, we first need to show the following spatial-weighted estimates for the velocity and the density.

Proposition 3.6 (weighted estimates for the velocity and the density). For any T > 0, there exists a positive constant $C_{0,T}$ depending only on N_0 , T and on \mathcal{A}_0 defined by (3.18) such that for all $a \in (2, \infty)$ and $b \in (0, 1]$

(3.24)
$$\sup_{t \in [0,T]} \|\bar{x}^{-b} u^k(t, \cdot)\|_{L^{\frac{a}{b}}(B_{n_k})} \le C_{0,T},$$

(3.25)
$$\sup_{t \in [0,T]} \|\bar{x}^{-1}u^k(t,\cdot)\|_{L^2(B_{n_k})} \le C_{0,T},$$

(3.26)
$$\|\bar{x}^{-b}u^k\|_{L^{2+b}(0,T;L^{\infty}(B_{n_k}))} \le C_{0,T}.$$

Moreover for $\alpha > 1$, for all $p \in [1, \infty]$,

(3.27)
$$\sup_{t \in [0,T]} \|\bar{x}^{\alpha} \rho^{k}(t, \cdot)\|_{L^{p}(B_{n_{k}})} \leq C_{0,T}$$

Proof. At first, we prove inequality (3.25). It can be derived directly from classical weighted inequalities and the uniform estimates in Proposition 3.5. Indeed, estimate (A.3) with inequality (3.23) and (3.21) implies that there exists a positive constant C

depending only on ρ_*, m, ℓ, N_0, T and norms $\|\rho_0\|_{L^1(\mathbb{R}^2)}, \|\sqrt{\rho_0}u_0\|_{L^2(\mathbb{R}^2)}$ such that

(3.28)
$$\left(\int_{B_{n_k}} \frac{|u^k(t,x)|^m}{\langle x \rangle^2} (\ln\langle x \rangle)^{-\ell} dx \right)^{1/m} \le C(\|\sqrt{\rho^k} u^k\|_{L^2(B_{n_k})} + \|\nabla u^k\|_{L^2(B_{n_k})}) \le C(\|\sqrt{\rho_0} u_0\|_{L^2(\mathbb{R}^2)} + \|\nabla u_0\|_{L^2(\mathbb{R}^2)}),$$

for all $m \in [2, \infty)$ and $\ell \in (1 + \frac{m}{2}, \infty)$. Recalling that $\bar{x} = \langle x \rangle (\ln \langle x \rangle)^2$ and taking $m = 2, \ell = 4$ in inequality (3.28), we easily get (3.25).

Taking $m = 4, \ell = 4$ in inequality (3.28), we find that

(3.29)
$$\|\langle x \rangle^{-1} u^{k}(t, \cdot)\|_{L^{4}(B_{n_{k}})} \leq \left(\int_{B_{n_{k}}} |u^{k}(t, x)|^{4} \langle x \rangle^{-2} (\ln\langle x \rangle)^{-4} dx \right)^{\frac{1}{4}} \\ \leq C(\|\sqrt{\rho_{0}}u_{0}\|_{L^{2}(\mathbb{R}^{2})} + \|\nabla u_{0}\|_{L^{2}(\mathbb{R}^{2})}),$$

where we used the fact that

(3.30)
$$(\ln\langle x \rangle)^{\gamma} \leq C(\gamma)\langle x \rangle, \text{ for any } \gamma \in [1,\infty), \ x \in \mathbb{R}^2.$$

The proof of (3.24) be follows similarly by using (3.28) and (3.30) again.

To prove (3.26), we use the Gagliardo-Nirenberg inequality (A.1) and Young's inequality to write that

$$\begin{aligned} \|\bar{x}^{-b}u^{k}(t,\cdot)\|_{L^{\infty}(B_{n_{k}})} &\leq C(\|\bar{x}^{-b}u^{k}(t,\cdot)\|_{L^{\frac{a}{b}}(B_{n_{k}})} + \|\nabla(\bar{x}^{-b}u^{k}(t,\cdot))\|_{L^{\frac{a}{b}}(B_{n_{k}})}) \\ &\leq C(\|\bar{x}^{-b}u^{k}(t,\cdot)\|_{L^{\frac{a}{b}}(B_{n_{k}})} + \|\nabla u^{k}(t,\cdot)\|_{L^{\frac{a}{b}}(B_{n_{k}})}). \end{aligned}$$

Thus, taking a = 4, one then has (3.26) thanks to inequality (3.24) and the uniform estimate (3.21).

Now, we can prove (3.27). Noticing that $\bar{x}^{\alpha}\rho^{k}$ satisfies

$$\partial_t(\bar{x}^{\alpha}\rho^k) + u^k \cdot \nabla(\bar{x}^{\alpha}\rho^k) = \alpha(\bar{x}^{\alpha}\rho^k)u^k \cdot \nabla\ln\bar{x},$$

then the standard L^p estimate for the transport equation gives that

$$\|\bar{x}^{\alpha}\rho^{k}\|_{L^{p}(B_{n_{k}})}^{p} \leq C \|\bar{x}^{\alpha}\rho_{0}\|_{L^{p}(\mathbb{R}^{2})}^{p} \exp(\|u^{k}\cdot\nabla\ln\bar{x}\|_{L^{1}(0,T;L^{\infty}(B_{n_{k}}))})$$

Using the fact that

(3.31)
$$|\nabla \bar{x}| \le C(\ln\langle x \rangle)^2, \quad |\nabla \langle x \rangle| \le C, \text{ for any } x \in \mathbb{R}^2,$$

together with inequalities (A.1), (3.21), (3.29), one obtains

$$(3.32) \qquad \int_{0}^{T} \|u^{k} \cdot \nabla \ln \bar{x}\|_{L^{\infty}(B_{n_{k}})} dt \leq C \int_{0}^{T} \|\langle x \rangle^{-1} u^{k}\|_{L^{\infty}(B_{n_{k}})} dt$$
$$\leq C \int_{0}^{T} \|\langle x \rangle^{-1} u^{k}\|_{L^{4}(B_{n_{k}})}^{\frac{1}{2}} \|\nabla (\langle x \rangle^{-1} u^{k})\|_{L^{4}(B_{n_{k}})}^{\frac{1}{2}} dt$$
$$\leq C \int_{0}^{T} \|\langle x \rangle^{-1} u^{k}\|_{L^{4}(B_{n_{k}})} \|\nabla u^{k}\|_{L^{4}(B_{n_{k}})}^{\frac{1}{2}} dt$$
$$\leq C (\|\sqrt{\rho_{0}} u_{0}\|_{L^{2}(\mathbb{R}^{2})} + \|\nabla u_{0}\|_{L^{2}(\mathbb{R}^{2})})^{\frac{3}{2}} T^{\frac{3}{4}}.$$

This finishes the proof of Proposition 3.6.

3.2.3. Shift of regularity.

Proposition 3.7 (improved higher-order estimates). For any T > 0 and for all $r \in [2, \infty)$ and $q \in [2, \frac{2r}{r-2})$, we have

(3.33)
$$\|\nabla^2(\sqrt{t}u^k)\|_{L^q(0,T;L^r(B_{n_k}))} + \|\nabla(\sqrt{t}P^k)\|_{L^q(0,T;L^r(B_{n_k}))} \le C_{0,T}$$

and

(3.34)
$$\sup_{t \in [0,T]} \left(\|\nabla^2(\sqrt{t}u^k)\|_{L^2(B_{n_k})} + \|\nabla(\sqrt{t}P^k)\|_{L^2(B_{n_k})} \right) \le C_{0,T},$$

where $C_{0,T}$ depends only on N_0 , T and on \mathcal{A}_0 defined by (3.18). Moreover, we have the following key Lipschitz estimate

$$\int_0^T \|\nabla u^k(t,\cdot)\|_{L^{\infty}(B_{n_k})} \, dt \le C_{0,T} T^{\frac{1}{3}}$$

and $C_{0,T}T^{\frac{1}{3}} \to 0$ as $T \to 0$.

Proof. Applying the standard L^p estimates for Stokes problem (2.13), we have for all finite p,

(3.35)
$$\|\nabla^2(\sqrt{t}u^k)\|_{L^p(B_{n_k})} + \|\nabla(\sqrt{t}P^k)\|_{L^p(B_{n_k})} \le C(p)\|\sqrt{t}\rho\dot{u}^k\|_{L^p(B_{n_k})}.$$

Obviously, inequality (3.22) yields (3.34) directly.

Similarly to the proof of inequality (3.28), applying Proposition A.4 to \dot{u} implies that for all $r \in [2, \infty)$ (taking $\ell = 2r$)

$$\left(\int_{B_{n_k}} \frac{|\sqrt{t}\dot{u}^k(t,x)|^r}{\langle x \rangle^2} \left(\ln\langle x \rangle\right)^{-2r} dx\right)^{1/r} \le C(\|\sqrt{\rho^k t}\dot{u}^k\|_{L^2(B_{n_k})} + \|\sqrt{t}\nabla \dot{u}^k\|_{L^2(B_{n_k})}).$$

Thus by using $\alpha > 1$, one has

$$\begin{aligned} \|\sqrt{t}\rho\dot{u}^{k}\|_{L^{r}(B_{n_{k}})} &= \left(\int_{B_{n_{k}}} (\bar{x}^{\alpha}\rho^{k})^{r} (\langle x \rangle^{2-\alpha r} (\ln\langle x \rangle)^{2r-2\alpha r} |\sqrt{t}\dot{u}^{k}|^{r} \langle x \rangle^{-2} (\ln\langle x \rangle)^{-2r} dx \right)^{1/r} \\ &\leq C \|\bar{x}^{\alpha}\rho^{k}\|_{L^{\infty}} (\|\sqrt{\rho^{k}t}\dot{u}^{k}\|_{L^{2}(B_{n_{k}})} + \|\sqrt{t}\nabla\dot{u}^{k}\|_{L^{2}(B_{n_{k}})}), \end{aligned}$$

which together with inequalities (3.27), (3.22) and (3.35) yields (3.33) in the case q = 2. Further interpolating with (3.22) this finally implies (3.33).

To prove the Lipschitz estimate, we write by inequalities (A.1), (3.21) and (3.33) that

$$\begin{split} \int_{0}^{T} \|\nabla u^{k}\|_{L^{\infty}(B_{n_{k}})} dt &\leq \int_{0}^{T} \|\nabla u^{k}\|_{L^{2}(B_{n_{k}})}^{\frac{1}{3}} \|\nabla^{2}(\sqrt{t}u^{k})\|_{L^{4}(B_{n_{k}})}^{\frac{2}{3}} t^{-\frac{1}{3}} dt \\ &\leq \|\nabla u^{k}\|_{L^{\infty}(0,T;L^{2}(B_{n_{k}}))}^{\frac{1}{3}} \|\nabla^{2}(\sqrt{t}u^{k})\|_{L^{2}(0,T;L^{4}(B_{n_{k}}))}^{\frac{2}{3}} \|t^{-\frac{1}{3}}\|_{L^{\frac{3}{2}}(0,T)} \\ &\leq C_{0,T} T^{\frac{1}{3}}, \end{split}$$

where $C_{0,T}$ remains bounded when $T \to 0$.

3.2.4. Weighted L^{∞} estimates. In order to prove uniqueness, we need the following improved weighted-estimate for the velocity, with the help of initial condition (Compa).

Proposition 3.8 (almost boundedness of the velocity). For any T > 0, there exits a constant $C_{\#}$ depending only on the norms $||g||_{L^2(\mathbb{R}^2)}, ||\nabla u_0||_{L^2(\mathbb{R}^2)}, ||\Delta u_0||_{L^2(\mathbb{R}^2)}$ and on $C_{0,T}$ (defined in Proposition 3.7) such that for any $b \in (0, 1]$,

(3.36)
$$\sup_{t \in [0,T]} \|\bar{x}^{-b} u^k(t, \cdot)\|_{L^{\infty}(B_{n_k})} \le C_{\#}.$$

Proof. At first, using the Gagliardo-Nirenberg inequality (A.1) and Young's inequality, one has for any $a \in (2, \infty)$,

(3.37)
$$\|\bar{x}^{-b}u^{k}(t,\cdot)\|_{L^{\infty}(B_{n_{k}})} \leq C(\|\bar{x}^{-b}u^{k}(t,\cdot)\|_{L^{\frac{a}{b}}(B_{n_{k}})} + \|\nabla u^{k}(t,\cdot)\|_{L^{\frac{a}{b}}(B_{n_{k}})}).$$

Let us bound the right-hand-side of (3.37) for $\frac{a}{b} = 4$. The bound for the first term follows simply from (3.24).

It remains to obtain estimate for ∇u^k in $L^{\infty}(0,T; L^4(B_{n_k}))$. Estimate (3.34) can be used to obtain an estimate away from initial time. The difficulty is that this estimate degenerates near initial time. Our goal is now to get an estimate near t = 0. In order to achieve this, we need the compatibility condition (Compa). From [43, Lemma 3.3], we know that there exists a positive constant C depending only on on \mathcal{A}_0 defined by (3.18) such that

$$(3.38) \frac{d}{dt} \Big(\|\sqrt{\rho} \dot{u}^k\|_{L^2(B_{n_k})}^2 - 2\sum_{j=1,2} \langle P, \partial_j u^k \cdot \nabla(u^k)^j \rangle \Big) + \|\nabla \dot{u}^k\|_{L^2(B_{n_k})}^2 \le C \|\sqrt{\rho} \dot{u}^k\|_{L^2(B_{n_k})}^4$$

First, one has for all $0 \le \tau \le t \le T$

(3.39)
$$\|\sqrt{\rho}\dot{u}^{k}(\tau,\cdot)\|_{L^{2}(B_{n_{k}})}^{2} = \langle\rho\dot{u}^{k},\dot{u}^{k}\rangle = \langle\Delta u^{k},\dot{u}^{k}\rangle - \langle\nabla P^{k},\dot{u}^{k}\rangle$$

and

$$\begin{split} \langle \Delta u^k, \dot{u}^k \rangle &= \langle (\Delta u^k - \nabla P_0^k) / \sqrt{\rho}, \sqrt{\rho^k} \dot{u}^k \rangle + \langle \nabla P_0^k, u^k \cdot \nabla u^k \rangle \\ &= \langle (\Delta u^k - \nabla P_0^k) / \sqrt{\rho^k}, \sqrt{\rho^k} \dot{u}^k \rangle - \sum_{j=1,2} \langle P_0^k, \partial_j u^k \cdot \nabla (u^k)^j \rangle, \end{split}$$

and

$$\langle \nabla P^k, \dot{u}^k \rangle = \langle \nabla P^k, u^k \cdot \nabla u^k \rangle = -\sum_{j=1,2} \langle P^k, \partial_j u^k \cdot \nabla (u^k)^j \rangle.$$

Second, using inequality (3.3) we have

(3.40)

$$\begin{aligned} |\langle P^{k} - P_{0}^{k}, \partial_{j} u^{k} \cdot \nabla(u^{k})^{j} \rangle| &\leq ||P^{k} - P_{0}^{k}||_{\mathrm{BMO}(\mathbb{R}^{2})} ||\partial_{j} u^{k}||_{L^{2}(\mathbb{R}^{2})} ||\nabla(u^{k})^{j}||_{L^{2}(\mathbb{R}^{2})} \\ &\leq C(\rho_{*}) \left(||\sqrt{\rho_{0}}g||_{L^{2}(\mathbb{R}^{2})} + ||\sqrt{\rho^{k}} \dot{u}^{k}||_{L^{2}(\mathbb{R}^{2})} \right) ||\nabla u^{k}||_{L^{2}(\mathbb{R}^{2})}^{2}, \end{aligned}$$

where in the last inequality we used the embedding $\dot{H}^1(\mathbb{R}^2) \hookrightarrow BMO(\mathbb{R}^2)$, the standard maximal regularity estimates for the stationary Stokes system applied to (INS- ε_k) and estimate (3.19) for P_0^k .

Thus by Hölder's and Young's inequalities and (3.40), the identity (3.39) leads to the following estimate

$$\begin{split} \|\sqrt{\rho^{k}}\dot{u}^{k}(\tau,\cdot)\|_{L^{2}(B_{n_{k}})}^{2} &\leq C\Big(\|(\Delta u^{k}-\nabla P_{0}^{k})/\sqrt{\rho^{k}}\|_{L^{2}(B_{n_{k}})}^{2} \\ &+\|\sqrt{\rho^{k}}u^{k}\cdot\nabla u^{k}\|_{L^{2}(B_{n_{k}})}^{2} + \|\nabla u^{k}\|_{L^{2}(B_{n_{k}})}^{4}\Big). \end{split}$$

Moreover, using Hölder's inequality again, (A.1) and the estimate

$$\sup_{t \in [0,T]} \|\sqrt{\rho} u^{k}(t,\cdot)\|_{L^{4}(\mathbb{R}^{2})} = \left(\int_{\mathbb{R}^{2}} (\bar{x}^{\alpha} \rho)^{2} \langle x \rangle^{2-2\alpha} (\ln\langle x \rangle)^{4-4\alpha} |u^{k}|^{4} \langle x \rangle^{-2} (\ln\langle x \rangle)^{-4} dx \right)^{1/4} \\ \leq C \|\bar{x}^{\alpha} \rho\|_{L^{\infty}(\mathbb{R}^{2})} \left(\|\sqrt{\rho^{k}} u^{k}\|_{L^{2}(\mathbb{R}^{2})} + \|\nabla u^{k}\|_{L^{2}(\mathbb{R}^{2})} \right) \leq C_{0,T},$$

the above inequality can be further rewritten as

$$\begin{aligned} \|\sqrt{\rho^k} \dot{u}^k(\tau, \cdot)\|_{L^2(B_{n_k})}^2 &\leq C \|(\Delta u^k - \nabla P_0^k) / \sqrt{\rho^k}\|_{L^2(B_{n_k})}^2 \\ &+ C_{0,T} \|\nabla u^k\|_{L^2(B_{n_k})} \|\nabla^2 u^k\|_{L^2(B_{n_k})} + \|\nabla u^k\|_{L^2(B_{n_k})}^4. \end{aligned}$$

Therefore, by the fact that $u^k \in C_t H^2$ and by the approximate compatibility condition (Approx-compa), we have

(3.41)
$$\limsup_{\tau \to 0^+} \|\sqrt{\rho} \dot{u}^k(\tau, \cdot)\|_{L^2(B_{n_k})}^2 \leq C(\|g\|_{L^2(\mathbb{R}^2)}^2 + C_{0,T} \|\nabla u_0\|_{L^2(\mathbb{R}^2)} \|\nabla^2 u_0\|_{L^2(\mathbb{R}^2)} + \|\nabla u_0\|_{L^2(\mathbb{R}^2)}^4) := C_{\#}.$$

Notice that we used (3.20) to bound $\|\nabla^2 u^k\|_{L^2(\mathbb{R}^2)}$ uniformly in k. By a variant of inequality (3.40), we see that

$$\frac{1}{2} \|\sqrt{\rho} \dot{u}^{k}(t,\cdot)\|_{L^{2}(B_{n_{k}})}^{2} - C \|\nabla u^{k}(t,\cdot)\|_{L^{2}(B_{n_{k}})}^{4} \leq \|\sqrt{\rho^{k}} \dot{u}^{k}(t,\cdot)\|_{L^{2}(B_{n_{k}})}^{2} \\ - 2\sum_{j=1,2} \langle P^{k}, \partial_{j} u^{k} \cdot \nabla (u^{k})^{j} \rangle |(t).$$

Then, integrating (3.38) on the time interval $[\tau, t]$ and letting $\tau \to 0^+$ in the resulting inequality, we obtain that there exits a positive time $T_{\#}$ depending only on $C_{\#}$ such that

(3.42)
$$\|\sqrt{\rho^k} \dot{u}^k(t, \cdot)\|_{L^2(B_{n_k})}^2 \le CC_{\#}, \quad \text{for all time } t \in (0, T_{\#}].$$

Using the standard estimates for the Stokes system, we then get for all $t \in (0, T_{\#}]$,

$$\|\Delta u^{k}(t,\cdot)\|_{L^{2}(B_{n_{k}})}^{2} + \|\nabla P^{k}(t,\cdot)\|_{L^{2}(B_{n_{k}})}^{2} \le C\rho_{*}\|\sqrt{\rho^{k}}\dot{u}^{k}(t,\cdot)\|_{L^{2}(B_{n_{k}})}^{2} \le CC_{\#}.$$

This together with estimate (3.34) implies that

(3.43)
$$\sup_{t \in [0,T]} \|\nabla^2 u^k(t, \cdot)\|_{L^2(B_{n_k})}^2 \le C(C_\# + C_{0,T}).$$

Finally, from the Gagliardo-Nirenberg inequality (A.1) and (3.21),

$$\sup_{t \in [0,T]} \|\nabla u^{k}(t,\cdot)\|_{L^{4}(B_{n_{k}})} \leq \sup_{t \in [0,T]} (\|\nabla u^{k}(t,\cdot)\|_{L^{2}(B_{n_{k}})} + \|\nabla^{2} u^{k}(t,\cdot)\|_{L^{2}(B_{n_{k}})})$$
$$\leq C(C_{\#} + C_{0,T}).$$

This completes the proof of proposition 3.8.

4. PROOF OF THE UNIQUENESS RESULTS

In this section, we show the uniqueness of the solutions that we constructed in the paper, both in the two- and three-dimensional case. The main difficulty we have to face is that having only bounded solutions can not ensure L^2 stability for the transport equation. Of course, one may reformulate system (INS) in Lagrangian coordinates to prove uniqueness. The advantage of doing so is obvious: the density is constant along the flow. However, motivated by Hoff's paper [30] on the compressible Navier-Stokes equations, it is possible to directly estimate the difference of the densities in \dot{H}^{-1} (see for example [17, 21]).

4.1. The case when u is in $L^2(\mathbb{R}^2)$ or $L^6(\mathbb{R}^3)$.

Proposition 4.1 (uniqueness in 2D and 3D under control of a Lebesgue norm of the velocity). Consider two finite-energy weak solutions (ρ, u) and $(\bar{\rho}, \bar{u})$ (in the sense of Definition 1.1) to system (INS) corresponding to the same initial data (ρ_0, u_0) satisfying (1.2). Assume that

(4.1)
$$\begin{cases} \nabla \bar{u} \in L^{\infty}(0,T;L^{2}(\mathbb{R}^{d})) \cap L^{1}(0,T;L^{\infty}(\mathbb{R}^{d})), \\ \sqrt{t}\dot{\bar{u}} \in L^{2}(0,T;L^{6}(\mathbb{R}^{d})), \ \nabla(\sqrt{t}\dot{\bar{u}}) \in L^{2}((0,T) \times \mathbb{R}^{d}), \end{cases}$$

and

- In the two-dimensional case, assume in addition that ρ_0 satisfies condition (aNV) or (VB),
- In the three-dimensional case, assume in addition that,

(4.2)
$$\nabla u \in L^4(0,T;L^2(\mathbb{R}^3))$$

then $(\rho, u) \equiv (\bar{\rho}, \bar{u})$ on $[0, T] \times \mathbb{R}^d$.¹²

Proof. We focus on the case when T is small, say $T \leq \frac{1}{2}$. We remark that a standard connectivity argument enables us to prove the the case of arbitrary large T.

Step 1: control of the difference of the densities.

(4.3)
Define the difference
$$\delta \rho := \rho - \bar{\rho}$$
 and $\delta u := u - \bar{u}$, then the system for $(\delta \rho, \delta u)$ reads
 $\begin{cases} \partial_t \delta \rho + \bar{u} \cdot \nabla \delta \rho + \delta u \cdot \nabla \rho = 0, \\ \rho(\partial_t \delta u + u \cdot \nabla \delta u) + \nabla \delta P - \Delta \delta u = -\delta \rho \dot{\bar{u}} - \rho \delta u \cdot \nabla \bar{u}, \\ \nabla \cdot \delta u = 0, \\ (\delta \rho, \delta u)|_{t=0} = 0. \end{cases}$

 $^{^{12}}$ Let us stress that the uniqueness is in the class of finite-energy weak solutions. Moreover, notice that the result in 2D is of weak-strong uniqueness type.

We perform estimates for $\delta \rho$ in $L^{\infty}(0,T; \dot{H}^{-1}(\mathbb{R}^d)$ and for δu in $L^2((0,T) \times \mathbb{R}^d)$. We remark that the general strategy is the same for dimensions d = 2, 3. To achieve these estimates, we set

(4.4)
$$\phi := (-\Delta)^{-1} \delta \rho \quad \text{so that} \quad \|\nabla \phi\|_{L^2(\mathbb{R}^d)} = \|\delta \rho\|_{\dot{H}^{-1}(\mathbb{R}^d)}.$$

Now, testing the first equation of (4.3) against ϕ yields

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(4.5)
$$\frac{1}{2}\frac{d}{dt}\|\nabla\phi\|_{L^2(\mathbb{R}^d)}^2 \le |\langle \bar{u}\cdot\nabla\Delta\phi,\phi\rangle| + |\langle\delta u\cdot\nabla\rho,\phi\rangle|.$$

For the first term in the right-hand-side of (4.5), using that $\nabla \cdot \bar{u} = 0$ one has

$$\langle \bar{u} \cdot \nabla \Delta \phi, \phi \rangle = \sum_{1 \le i, j \le d} \langle \bar{u}^i \partial_{ijj} \phi, \phi \rangle = \sum_{1 \le i, j \le d} \langle \partial_j \bar{u}^i \partial_j \phi, \partial_i \phi \rangle$$

so that

$$|\langle \bar{u} \cdot \nabla \Delta \phi, \phi \rangle| \le \|\nabla \bar{u}\|_{L^{\infty}(\mathbb{R}^d)} \|\nabla \phi\|_{L^2(\mathbb{R}^d)}^2.$$

As for the second term in the right-hand-side of (4.5), noticing that $\nabla \cdot \delta u = 0$, then

$$\langle \delta u \cdot \nabla \rho, \phi \rangle = -\langle \delta u \cdot \nabla \phi, \rho \rangle,$$

and thus

$$|\langle \delta u \cdot \nabla \rho, \phi \rangle| \leq \sqrt{\rho_*} \|\sqrt{\rho} \delta u\|_{L^2(\mathbb{R}^d)} \|\nabla \phi\|_{L^2(\mathbb{R}^d)}.$$

Collecting the above two estimates into (4.5), we discover that

$$\|\nabla\phi(t,\cdot)\|_{L^2(\mathbb{R}^d)} \leq \int_0^t \|\nabla\bar{u}(s,\cdot)\|_{L^\infty(\mathbb{R}^d)} \|\nabla\phi(s,\cdot)\|_{L^2(\mathbb{R}^d)} \, ds + \sqrt{\rho_*} \int_0^t \|\sqrt{\rho}\delta u(s,\cdot)\|_{L^2(\mathbb{R}^d)} \, ds.$$

Hence using (4.4) and denoting

Hence, using (4.4) and denoting

$$D(t) := \sup_{0 < s \le t} s^{-\frac{1}{2}} \| \delta \rho(s, \cdot) \|_{\dot{H}^{-1}(\mathbb{R}^d)}$$

we get after using Young's inequality and Gronwall's lemma, for all $t \in [0, T]$,

(4.6)
$$D(t) \le \sqrt{\rho_*} \| \sqrt{\rho} \delta u \|_{L^2((0,t) \times \mathbb{R}^d)} \exp(\| \nabla \bar{u} \|_{L^1(0,T;L^\infty(\mathbb{R}^d))}).$$

Step 2: duality argument.

At this stage, in order to control the difference $\sqrt{\rho}\delta u$ in $L^2((0,t) \times \mathbb{R}^d)$, we introduce the solution v to the following linear backward parabolic system:

(4.7)
$$\begin{cases} \rho(\partial_t v + u \cdot \nabla v) + \nabla Q + \Delta v = \rho \delta u, \\ \nabla \cdot v = 0, \\ v|_{t=T} = 0. \end{cases}$$

Let us first claim an important a priori estimate for the above system:

(4.8)

$$\sup_{t \in [0,T]} \| \left(\sqrt{\rho} v, \nabla v \right) (t, \cdot) \|_{L^{2}(\mathbb{R}^{d})}^{2} + \int_{0}^{T} \left(\| \nabla v(t, \cdot) \|_{L^{2}}^{2} + \| \left(\sqrt{\rho} \dot{v}, \nabla^{2} v, \nabla Q \right) (t, \cdot) \|_{L^{2}}^{2} \right) dt$$

$$\leq C \| \sqrt{\rho} \delta u \|_{L^{2}((0,T) \times \mathbb{R}^{d})}^{2}$$

that we prove below. Solving the above problem is not included in the classical theory for the linear evolutionary Stokes system, since the coefficients are rough and may vanish. It is not hard to find that if (ρ, u) are regular with ρ bounded from below away from zero then the existence issue can be solved thanks to the a priori estimate (4.8). In our setting, the existence may be established by a regularizing procedure of (ρ, u) , after using estimate (4.8), as in the proof of the existence part of Theorem A and B.

For the moment, we assume that estimate (4.8) is satisfied. Testing the equation of δu in system (4.3) by v yields that

(4.9)
$$\|\sqrt{\rho}\delta u\|_{L^2((0,T)\times\mathbb{R}^d)}^2 \leq \int_0^T |\langle\delta\rho\dot{\bar{u}},v\rangle| \,dt + \int_0^T |\langle\rho\delta u\cdot\nabla\bar{u},v\rangle| \,dt.$$

We have, by Hölder's inequality

$$\begin{split} &\int_{0}^{T} |\langle \delta \rho \dot{u}, v \rangle| \, dt \leq \|t^{-1/2} \delta \rho\|_{L^{\infty}(0,T;\dot{H}^{-1}(\mathbb{R}^{d}))} \|\sqrt{t} \nabla(\dot{u} \cdot v)\|_{L^{1}(0,T;L^{2}(\mathbb{R}^{d}))} \\ &\leq D(T)(\|\nabla(\sqrt{t}\dot{u})\|_{L^{2}((0,T)\times\mathbb{R}^{d})} \|v\|_{L^{2}(0,T;L^{\infty}(\mathbb{R}^{d}))} + \|\sqrt{t}\dot{u}\|_{L^{2}(0,T;L^{6}(\mathbb{R}^{d}))} \|\nabla v\|_{L^{2}(0,T;L^{3}(\mathbb{R}^{d}))}), \end{split}$$

and

$$\int_{0}^{T} |\langle \rho \delta u \cdot \nabla \bar{u}, v \rangle| \, dt \leq \sqrt{\rho_*} \|\sqrt{\rho} \delta u\|_{L^2((0,T) \times \mathbb{R}^d)} \|\nabla \bar{u}\|_{L^\infty(0,T;L^2(\mathbb{R}^d))} \|v\|_{L^2(0,T;L^\infty(\mathbb{R}^d))}$$

By estimate (4.8) and Gagliardo-Nirenberg's inequality [26, Lemma II.3.3], we see that in 2D

$$\begin{aligned} \|v\|_{L^{4}(0,T;L^{\infty}(\mathbb{R}^{2}))} &\leq \left(\int_{0}^{T} \|v\|_{L^{2}(\mathbb{R}^{2})}^{2} \|\nabla^{2}v\|_{L^{2}(\mathbb{R}^{2})}^{2} dt\right)^{1/4} \\ &\leq C \|(\sqrt{\rho}v,\nabla v)\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{2}))}^{1/2} \|\nabla^{2}v\|_{L^{2}((0,T)\times\mathbb{R}^{2})}^{1/2} \\ &\leq C \|\sqrt{\rho}\delta u\|_{L^{2}((0,T)\times\mathbb{R}^{2})}, \end{aligned}$$

and in 3D

$$\begin{aligned} \|v\|_{L^{4}(0,T;L^{\infty}(\mathbb{R}^{3}))} &\leq \left(\int_{0}^{T} \|\nabla v\|_{L^{2}(\mathbb{R}^{3})}^{2} \|\nabla^{2} v\|_{L^{2}(\mathbb{R}^{3})}^{2} dt\right)^{1/4} \\ &\leq C \|\nabla v\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{3}))}^{1/2} \|\nabla^{2} v\|_{L^{2}((0,T)\times\mathbb{R}^{3})}^{1/2} \\ &\leq C \|\sqrt{\rho} \delta u\|_{L^{2}((0,T)\times\mathbb{R}^{3})}. \end{aligned}$$

Moreover, one has (recall that $T \leq 1/2$)

$$\begin{split} \|\nabla v\|_{L^{2}(0,T;L^{3}(\mathbb{R}^{d}))} &\leq C \|\nabla v\|_{L^{2}((0,T)\times\mathbb{R}^{d})}^{1-\frac{d}{6}} \|\nabla^{2}v\|_{L^{2}((0,T)\times\mathbb{R}^{d})}^{\frac{d}{6}} \\ &\leq CT^{\frac{1}{2}-\frac{d}{12}} \|\nabla v\|_{L^{\infty}((0,T;L^{2}(\mathbb{R}^{d}))}^{1-\frac{d}{6}} \|\nabla^{2}v\|_{L^{2}((0,T)\times\mathbb{R}^{d})}^{\frac{d}{6}} \\ &\leq CT^{\frac{1}{3}} \|\sqrt{\rho} \delta u\|_{L^{2}((0,T)\times\mathbb{R}^{d})}. \end{split}$$

So that one can conclude that

$$\|v\|_{L^{2}(0,T;L^{\infty}(\mathbb{R}^{d}))} + \|\nabla v\|_{L^{2}(0,T;L^{3}(\mathbb{R}^{d}))} \leq CT^{\frac{1}{4}} \|\sqrt{\rho} \delta u\|_{L^{2}((0,T)\times\mathbb{R}^{d})}$$

Taking these estimates into (4.9) and using assumption (4.1), we get

$$\|\sqrt{\rho}\delta u\|_{L^{2}((0,T)\times\mathbb{R}^{d})}^{2} \leq CT^{\frac{1}{3}}(D(T)\|\sqrt{\rho}\delta u\|_{L^{2}((0,T)\times\mathbb{R}^{d})} + \|\sqrt{\rho}\delta u\|_{L^{2}((0,T)\times\mathbb{R}^{d})}^{2}).$$

This together with inequality (4.6) implies that if T is small enough then

$$\sqrt{\rho}\delta u \equiv 0$$
 and $D(t) \equiv 0$ on $[0, T]$.

Now, since we already know that $\sqrt{\rho}\delta u$ and $\delta \rho$ are zero on [0, T], a direct L^2 estimate for the equation of δu in system (4.3) gives directly that

(4.10)
$$\int_{0}^{t} \|\nabla \delta u(s, \cdot)\|_{L^{2}(\mathbb{R}^{d})}^{2} ds = 0$$

In the 2D case, using again the condition (aNV) or (VB) together with Proposition A.6, one gets $\delta u \equiv 0$ on [0, T]. In the 3D case, one gets same conclusion by recalling that $\delta u \in L^2(\mathbb{R}_+; L^6(\mathbb{R}^3))$.

Step 3: proof of estimate (4.8).

It remains to prove estimate (4.8). Actually, the proof is similar to Proposition 3.1. To achieve it, we proceed as follows. At first, testing the equation by v, one finds that

(4.11)
$$\sup_{t \in (0,T)} \|\sqrt{\rho}v(t,\cdot)\|_{L^{2}(\mathbb{R}^{d})}^{2} + \int_{0}^{T} \|\nabla v(t,\cdot)\|_{L^{2}(\mathbb{R}^{d})}^{2} dt$$
$$\leq \|\sqrt{\rho}\delta u\|_{L^{2}((0,T)\times\mathbb{R}^{d})}^{2} + T \sup_{t \in (0,T)} \|\sqrt{\rho}v(t,\cdot)\|_{L^{2}(\mathbb{R}^{d})}^{2}.$$

Secondly, in order to obtain the higher-order estimates of v, we apply the standard maximal regularity estimates for the stationary Stokes system to (4.7) to get that for any $p \in (1, \infty)$,

(4.12)
$$\|\Delta v\|_{L^{p}(\mathbb{R}^{d})} + \|\nabla Q\|_{L^{p}(\mathbb{R}^{d})} \le C(p)\|\rho \dot{v}\|_{L^{p}(\mathbb{R}^{d})} \le C(p)\sqrt{\rho_{*}}\|\sqrt{\rho} \dot{v}\|_{L^{p}(\mathbb{R}^{d})}.$$

Testing the first equation of (4.7) by \dot{v} gives that

$$\|\sqrt{\rho}\dot{v}(t,\cdot)\|_{L^2(\mathbb{R}^d)}^2 + \langle \Delta v, \dot{v} \rangle + \langle \nabla Q, \dot{v} \rangle = \langle \rho \delta u, \dot{v} \rangle.$$

Since

$$\langle \Delta v, \dot{v} \rangle = -\frac{d}{dt} \|\nabla v\|_{L^2}^2 + \sum_{1 \le i, j, k \le d} \langle \partial_{kk} v^i, u^j \partial_j v^i \rangle$$

and

$$\langle \partial_{kk} v^i, u^j \partial_j v^i \rangle = -\langle \partial_k v^i, \partial_k u^j \partial_j v^i \rangle.$$

thus

 $(4.13) - \frac{d}{dt} \|\nabla v\|_{L^2}^2 + \|\sqrt{\rho}\dot{v}(t,\cdot)\|_{L^2(\mathbb{R}^d)}^2 = \langle\rho\delta u, \dot{v}\rangle - \langle\nabla Q, \dot{v}\rangle + \sum_{1\leq i,j,k\leq d} \langle\partial_k v^i, \partial_k u^j \partial_j v^i\rangle.$

Note that in the 2D case, we have by the Gagliardo-Nirenberg inequality (A.1)

$$(4.14) \qquad \sum_{1 \le i,j,k \le d} |\langle \partial_k v^i, \partial_k u^j \partial_j v^i \rangle| \le C \|\nabla v\|_{L^4(\mathbb{R}^2)}^2 \|\nabla u\|_{L^2(\mathbb{R}^2)} \\ \le C \|\nabla v\|_{L^2(\mathbb{R}^2)} \|\nabla^2 v\|_{L^2(\mathbb{R}^2)} \|\nabla u\|_{L^2(\mathbb{R}^2)} \\ \le \frac{1}{C\rho_*} \|\nabla^2 v\|_{L^2(\mathbb{R}^2)}^2 + C\rho_* \|\nabla u\|_{L^2(\mathbb{R}^2)}^2 \|\nabla v\|_{L^2(\mathbb{R}^2)}^2,$$

while in the 3D case,

$$(4.15) \qquad \sum_{1 \le i,j,k \le d} |\langle \partial_k v^i, \partial_k u^j \partial_j v^i \rangle| \le C \|\nabla v\|_{L^4(\mathbb{R}^3)}^2 \|\nabla u\|_{L^2(\mathbb{R}^3)} \\ \le C \|\nabla v\|_{L^2(\mathbb{R}^3)}^{\frac{1}{2}} \|\nabla^2 v\|_{L^2(\mathbb{R}^3)}^{\frac{3}{2}} \|\nabla u\|_{L^2(\mathbb{R}^3)} \\ \le \frac{1}{C\rho_*} \|\nabla^2 v\|_{L^2(\mathbb{R}^3)}^2 + C\rho_*^3 \|\nabla u\|_{L^2(\mathbb{R}^3)}^4 \|\nabla v\|_{L^2(\mathbb{R}^3)}^2.$$

Meanwhile, using that $\nabla \cdot v = 0$, we write

$$\langle \nabla Q, \dot{v} \rangle = -\langle Q, \nabla \cdot (u \cdot \nabla v) \rangle = -\sum_{1 \le i, j \le d} \langle Q, \partial_i u^j \partial_j v^i \rangle = -\sum_{1 \le i \le d} \langle Q, \partial_i u \cdot \nabla v^i \rangle.$$

Therefore in the 2D case, inequality (3.3) implies

(4.16)
$$\begin{aligned} |\langle \nabla Q, \dot{v} \rangle| &\leq C \|Q\|_{\mathrm{BMO}(\mathbb{R}^2)} \|\nabla u\|_{L^2(\mathbb{R}^2)} \|\nabla v\|_{L^2(\mathbb{R}^2)} \\ &\leq C \|\nabla Q\|_{L^2(\mathbb{R}^2)} \|\nabla u\|_{L^2(\mathbb{R}^2)} \|\nabla v\|_{L^2(\mathbb{R}^2)} \\ &\leq \frac{1}{C\rho_*} \|\nabla Q\|_{L^2(\mathbb{R}^2)}^2 + C\rho_* \|\nabla u\|_{L^2(\mathbb{R}^2)}^2 \|\nabla v\|_{L^2(\mathbb{R}^2)}^2. \end{aligned}$$

As for the 3D case, one has

$$(4.17) |\langle \nabla Q, \dot{v} \rangle| \leq C ||Q||_{L^{6}(\mathbb{R}^{3})} ||\nabla u||_{L^{2}(\mathbb{R}^{3})} ||\nabla v||_{L^{3}(\mathbb{R}^{3})} \leq C ||Q||_{\mathcal{D}^{1,2}(\mathbb{R}^{3})} ||\nabla u||_{L^{2}(\mathbb{R}^{3})} ||\nabla v||_{L^{2}(\mathbb{R}^{3})}^{\frac{1}{2}} ||\nabla^{2} v||_{L^{2}(\mathbb{R}^{3})}^{\frac{1}{2}} \leq \frac{1}{C\rho_{*}} (||\nabla^{2} v||_{L^{2}}^{2} + ||Q||_{\mathcal{D}^{1,2}(\mathbb{R}^{3})}^{2}) + C\rho_{*}^{3} ||\nabla u||_{L^{2}(\mathbb{R}^{3})}^{4} ||\nabla v||_{L^{2}(\mathbb{R}^{3})}^{2}.$$

It is easy to find that

$$|\langle \rho \delta u, \dot{v} \rangle| \le \|\sqrt{\rho} \delta u\|_{L^2(\mathbb{R}^d)} \|\sqrt{\rho} \dot{v}\|_{L^2(\mathbb{R}^d)}.$$

Putting the above estimate and (4.12), (4.14)-(4.17) into (4.13), we conclude after applying Gronwall's lemma that, in the 2D case

$$\sup_{t \in (0,T)} \|\nabla v(t,\cdot)\|_{L^2(\mathbb{R}^2)}^2 + \int_0^T \|(\sqrt{\rho}\dot{v}, \nabla^2 v, \nabla Q)(t,\cdot)\|_{L^2(\mathbb{R}^2)}^2 dt$$
$$\leq \|\sqrt{\rho}\delta u\|_{L^2((0,T)\times\mathbb{R}^2)}^2 \exp(C\rho_*\|\sqrt{\rho_0}u_0\|_{L^2(\mathbb{R}^2)}^2)$$

and in the 3D case

$$\sup_{t \in (0,T)} \|\nabla v(t,\cdot)\|_{L^2(\mathbb{R}^3)}^2 + \int_0^T \|(\sqrt{\rho}\dot{v}, \nabla^2 v, \nabla Q)(t,\cdot)\|_{L^2(\mathbb{R}^3)}^2 dt$$

$$\leq \|\sqrt{\rho}\delta u\|_{L^2((0,T) \times \mathbb{R}^3)}^2 \exp(C\rho_*^3 \|\nabla u\|_{L^4(0,T;L^2(\mathbb{R}^3))}^4).$$

Finally, these estimates together with estimate (4.11) prove (4.8). This completes the proof of Proposition 4.1. $\hfill \Box$

4.2. The case of two-dimensional far-field vacuum.

Proposition 4.2 (uniqueness in 2D in the case of far-field vacuum). Let d = 2. Consider two finite-energy weak solutions (ρ, u) and $(\bar{\rho}, \bar{u})$ (in the sense of Definition 1.1) to system (INS) corresponding to the same initial data (ρ_0, u_0) satisfying (1.2). Assume in addition that¹³ $\rho \bar{x}^{\alpha} \in L^{\infty}(0, T; L^1(\mathbb{R}^2)) \cap L^{\infty}((0, T) \times \mathbb{R}^2)$ and (4.18)

$$\begin{cases} \bar{x}^{-1}\bar{u} \in L^{\infty}(0,T;L^{2}(\mathbb{R}^{2})), \ \bar{x}^{-1}\bar{u}, \ \bar{x}^{-1}u \in L^{\infty}((0,T)\times\mathbb{R}^{2}), \ \langle x\rangle^{-1}\bar{u} \in L^{1}(0,T;L^{\infty}(\mathbb{R}^{2})), \\ \nabla \bar{u} \in L^{\infty}(0,T;L^{2}(\mathbb{R}^{2})) \cap L^{1}(0,T;L^{\infty}(\mathbb{R}^{2})), \\ \nabla (\sqrt{t}\bar{u}) \in L^{2}((0,T)\times\mathbb{R}^{2}), \sqrt{t}\bar{u}\bar{x}^{-\beta} \in L^{2}(0,T;L^{6}(\mathbb{R}^{2})) \text{ for some } \beta \in \left(\frac{1}{3},\frac{1}{2}\right). \end{cases}$$

Then $(\rho, u) \equiv (\bar{\rho}, \bar{u})$ on $[0, T] \times \mathbb{R}^2$.¹⁴

Proof. Step 1: control of the difference of the densities.

Recall the system (4.3) satisfied by the difference. We define¹⁵ $\delta \varrho := \bar{x}^{\beta} \delta \rho$ (note that $\beta < \frac{\alpha}{2}$) and thus get the following equation

(4.19)
$$\partial_t \delta \varrho + \bar{u} \cdot \nabla \delta \varrho + \bar{x}^\beta \delta u \cdot \nabla \rho = \beta \delta \varrho \bar{u} \cdot \nabla \ln \bar{x}.$$

In contrast to the previous subsection, we define an inhomogeneous version of the test function for the duality proof, namely

(4.20)
$$\phi := (\mathrm{Id} - \Delta)^{-1} \delta \varrho \quad \text{so that} \quad \|\phi\|_{H^1(\mathbb{R}^2)} = \|\delta \varrho\|_{H^{-1}(\mathbb{R}^2)}.$$

Now, testing the first equation of (4.19) against ϕ yields that

(4.21)
$$\frac{1}{2} \frac{d}{dt} \|\phi\|_{H^1(\mathbb{R}^2)}^2 \le |\langle \bar{u} \cdot \nabla \Delta \phi, \phi \rangle| + |\langle \bar{x}^\beta \delta u \cdot \nabla \rho, \phi \rangle| + \beta |\langle \Delta \phi \bar{u} \cdot \nabla \ln \bar{x}, \phi \rangle| + \beta |\langle \phi \bar{u} \cdot \nabla \ln \bar{x}, \phi \rangle|.$$

First term in the right-hand-side of (4.21). One has

(4.22)
$$|\langle \bar{u} \cdot \nabla \Delta \phi, \phi \rangle| \le \|\nabla \bar{u}\|_{L^{\infty}(\mathbb{R}^2)} \|\nabla \phi\|_{L^2(\mathbb{R}^2)}^2.$$

¹³Here as in the whole paper $\alpha > 1$, see (FFV-2).

¹⁴Notice that the uniqueness is for finite-energy weak solutions. However, this result is not of weak-strong uniqueness type.

¹⁵Notice that in contrast with Subsection 4.1, we work here, in the far-field case, with a weighted version of the difference of the densities.

Second term in the right-hand-side of (4.21). Noticing that $\nabla \cdot \delta u = 0$, we get

(4.23)
$$\langle \bar{x}^{\beta} \delta u \cdot \nabla \rho, \phi \rangle = -\langle \delta u \cdot \nabla \phi, \bar{x}^{\beta} \rho \rangle - \langle \delta u \cdot \nabla \bar{x}^{\beta}, \rho \phi \rangle.$$

By Hölder's inequality

$$(4.24) \qquad |\langle \delta u \cdot \nabla \phi, \bar{x}^{\beta} \rho \rangle| \leq \|\sqrt{\rho} \delta u\|_{L^{2}(\mathbb{R}^{2})} \|\nabla \phi\|_{L^{2}(\mathbb{R}^{2})} \|\sqrt{\rho} \bar{x}^{\beta}\|_{L^{\infty}(\mathbb{R}^{2})} \\ \leq \|\sqrt{\rho} \delta u\|_{L^{2}(\mathbb{R}^{2})} \|\nabla \phi\|_{L^{2}(\mathbb{R}^{2})} (1 + \|\rho \bar{x}^{2\beta}\|_{L^{\infty}(\mathbb{R}^{2})}).$$

For the second term in the right-hand-side of (4.23) we use the following inequality from [44, Theorem 1.1] which is valid for any $BMO(\mathbb{R}^2)$ function f with compact support and $g \in L^1(\mathbb{R}^2) \cap L^{\infty}(\mathbb{R}^2)$,

(4.25)
$$|\langle f,g\rangle| \le C ||f||_{\mathrm{BMO}(\mathbb{R}^2)} ||g||_{L^1(\mathbb{R}^2)} \left(|\ln ||g||_{L^1(\mathbb{R}^2)} | + \ln(e + ||g||_{L^\infty(\mathbb{R}^2)}) \right),$$

with the functions $f = \phi$, $g = \rho \delta u \cdot \nabla \bar{x}^{\beta}$. In particular, we use that $t^{-\frac{1}{2}} \phi \in L^{\infty}(0, T; H^1(\mathbb{R}^2))$ and the fact that the space $\mathcal{D}(\mathbb{R}^2)$ of smooth compactly supported functions on \mathbb{R}^2 is dense in $H^1(\mathbb{R}^2)$. Noticing

$$\begin{aligned} \|\rho \delta u \cdot \nabla \bar{x}^{\beta}\|_{L^{1}(\mathbb{R}^{2})} &\leq C \|\sqrt{\rho} \delta u\|_{L^{2}(\mathbb{R}^{2})} \|\sqrt{\rho} \bar{x}^{\beta-1} (\ln\langle x \rangle)^{2}\|_{L^{2}(\mathbb{R}^{2})} \\ &\leq C \|\sqrt{\rho} \delta u\|_{L^{2}(\mathbb{R}^{2})} \|\rho \bar{x}^{2\beta}\|_{L^{1}}^{\frac{1}{2}}, \end{aligned}$$

and that

$$\begin{aligned} \|\rho \delta u \cdot \nabla \bar{x}^{\beta}\|_{L^{\infty}(\mathbb{R}^{2})} &\leq \|\rho \bar{x}^{\beta} (\ln \langle x \rangle)^{2}\|_{L^{\infty}(\mathbb{R}^{2})} \|\bar{x}^{-1} \delta u\|_{L^{\infty}(\mathbb{R}^{2})} \\ &\leq C \|\rho \bar{x}^{2\beta}\|_{L^{\infty}(\mathbb{R}^{2})} (\|\bar{x}^{-1} u\|_{L^{\infty}(\mathbb{R}^{2})} + \|\bar{x}^{-1} \bar{u}\|_{L^{\infty}(\mathbb{R}^{2})}), \end{aligned}$$

we see that estimate (4.25) yields

$$\begin{aligned} |\langle \delta u \cdot \nabla \bar{x}^{\beta}, \rho \phi \rangle| &\leq C \|\phi\|_{\mathrm{BMO}(\mathbb{R}^2)} \|\sqrt{\rho} \delta u\|_{L^2(\mathbb{R}^2)} (|\ln\|\rho \delta u \cdot \nabla \bar{x}^{\beta}\|_{L^1(\mathbb{R}^2)}| + C) \\ &\leq C \|\nabla \phi\|_{L^2(\mathbb{R}^2)} \|\sqrt{\rho} \delta u\|_{L^2(\mathbb{R}^2)}, \end{aligned}$$

where in order to get the last inequality, we used the embedding $\dot{H}^1(\mathbb{R}^2) \hookrightarrow BMO(\mathbb{R}^2)$ and that

$$\begin{aligned} \|\rho \delta u \cdot \nabla \bar{x}^{\beta}\|_{L^{1}(\mathbb{R}^{2})} &\leq \|\rho \bar{x}^{\beta} (\ln \langle x \rangle)^{2}\|_{L^{1}(\mathbb{R}^{2})} \|\bar{x}^{-1} \delta u\|_{L^{\infty}(\mathbb{R}^{2})} \\ &\leq C \|\rho \bar{x}^{2\beta}\|_{L^{1}(\mathbb{R}^{2})} (\|\bar{x}^{-1} u\|_{L^{\infty}(\mathbb{R}^{2})} + \|\bar{x}^{-1} \bar{u}\|_{L^{\infty}(\mathbb{R}^{2})}) &\leq C \end{aligned}$$

These results combined with inequality (4.24) imply that

(4.26)
$$|\langle \bar{x}^{\beta} \delta u \cdot \nabla \rho, \phi \rangle| \le C \|\nabla \phi\|_{L^{2}(\mathbb{R}^{2})} \|\sqrt{\rho} \delta u\|_{L^{2}(\mathbb{R}^{2})}.$$

Third term in the right-hand-side of (4.21). We rewrite it into

(4.27)
$$|\langle \Delta \phi \bar{u} \cdot \nabla \ln \bar{x}, \phi \rangle| \le |\langle \nabla \phi \cdot \nabla (\bar{u} \cdot \nabla \ln \bar{x}), \phi \rangle| + |\langle |\nabla \phi|^2, \bar{u} \cdot \nabla \ln \bar{x} \rangle|.$$

Similarly, as above one has

$$|\langle \nabla \phi \cdot \nabla (\bar{u} \cdot \nabla \ln \bar{x}), \phi \rangle| \le \|\nabla \phi\|_{L^2(\mathbb{R}^2)} \|\nabla \phi \cdot \nabla (\bar{u} \cdot \nabla \ln \bar{x})\|_{\mathcal{H}^1(\mathbb{R}^2)}.$$

We now rely on (4.25) with $f := \nabla \phi \cdot \nabla (\bar{u} \cdot \nabla \ln \bar{x})$ to estimate the right-hand-side in the previous estimate. Noticing from inequalities (3.30) and (3.31) that

$$\begin{aligned} \|\nabla\phi \cdot \nabla(\bar{u} \cdot \nabla\ln\bar{x})\|_{L^{1}(\mathbb{R}^{2})} &\leq \|\nabla\phi\|_{L^{2}(\mathbb{R}^{2})}(\|\nabla\bar{u}\nabla\ln\bar{x}\|_{L^{2}(\mathbb{R}^{2})} + \|\bar{u}\langle x\rangle^{-2}\|_{L^{2}(\mathbb{R}^{2})}) \\ &\leq C\|\nabla\phi\|_{L^{2}(\mathbb{R}^{2})}(\|\nabla\bar{u}\|_{L^{2}(\mathbb{R}^{2})} + \|\bar{u}\bar{x}^{-1}\|_{L^{2}(\mathbb{R}^{2})}) \end{aligned}$$

and additionally using the Gagliardo-Nirenberg inequality (A.1) we have

$$\begin{split} \nabla(\bar{u} \cdot \nabla \ln \bar{x}) \|_{L^{\infty}(\mathbb{R}^{2})} &\leq C \| \nabla \phi \|_{L^{\infty}(\mathbb{R}^{2})} (\| \nabla \bar{u} \|_{L^{\infty}(\mathbb{R}^{2})} + \| \bar{u} \bar{x}^{-1} \|_{L^{\infty}(\mathbb{R}^{2})}) \\ &\leq C (\| \nabla \phi \|_{L^{2}(\mathbb{R}^{2})} + \| \bar{x}^{\beta} \delta \rho \|_{L^{4}(\mathbb{R}^{2})}) (\| \nabla \bar{u} \|_{L^{\infty}(\mathbb{R}^{2})} + \| \bar{u} \bar{x}^{-1} \|_{L^{\infty}(\mathbb{R}^{2})}). \end{split}$$

Thus one has

(4.28)

 $\|\nabla\phi\|$

$$|\langle \nabla \phi \cdot \nabla (\bar{u} \cdot \nabla \ln \bar{x}), \phi \rangle| \leq C \|\nabla \phi\|_{L^2(\mathbb{R}^2)}^2 (|\ln \|\nabla \phi\|_{L^2(\mathbb{R}^2)}) + \|\nabla \bar{u}\|_{L^\infty(\mathbb{R}^2)} + \|\bar{u}\bar{x}^{-1}\|_{L^\infty(\mathbb{R}^2)} + 1)$$

For the remaining term in (4.27), notice that

 $|\langle |\nabla \phi|^2, \bar{u} \cdot \nabla \ln \bar{x} \rangle| \le \|\nabla \phi\|_{L^2(\mathbb{R}^2)}^2 \|\bar{u} \langle x \rangle^{-1}\|_{L^\infty(\mathbb{R}^2)}.$

Fourth term in the right-hand-side of (4.21). By the same reasoning as above, we have

(4.29)
$$\begin{aligned} |\langle \phi \bar{u} \cdot \nabla \ln \bar{x}, \phi \rangle| &\leq \|\phi\|_{L^2(\mathbb{R}^2)}^2 \|\bar{u} \cdot \nabla \ln \bar{x}\|_{L^\infty(\mathbb{R}^2)} \\ &\leq C \|\phi\|_{L^2(\mathbb{R}^2)}^2 \|\bar{u}\langle x \rangle^{-1}\|_{L^\infty(\mathbb{R}^2)}. \end{aligned}$$

Final estimate of (4.21). Putting the above estimates (4.22), (4.26), (4.28), (4.29) together into (4.21), we get

$$\frac{d}{dt} \|\phi(t,\cdot)\|_{H^{1}(\mathbb{R}^{2})}^{2} \leq C \|\phi\|_{H^{1}(\mathbb{R}^{2})}^{2} (|\ln\|\phi\|_{H^{1}(\mathbb{R}^{2})}| + \|\nabla\bar{u}\|_{L^{\infty}(\mathbb{R}^{2})} + \|\bar{u}\langle x\rangle^{-1}\|_{L^{\infty}(\mathbb{R}^{2})} + 1) \\
+ \|\phi\|_{H^{1}(\mathbb{R}^{2})} \|\sqrt{\rho}\delta u\|_{L^{2}(\mathbb{R}^{2})}.$$

Hence, denoting

$$\bar{D}(t) := \sup_{0 < s \le t} s^{-\frac{1}{2}} \| \delta \varrho(s, \cdot) \|_{H^{-1}(\mathbb{R}^2)},$$

we further get that for all $t \in [0, T]$,

(4.30)
$$\bar{D}(t) \leq C \int_0^t \bar{D}(s) \left(|\ln \bar{D}(s)| + |\ln s| + \|\nabla \bar{u}\|_{L^{\infty}(\mathbb{R}^2)} + \|\bar{u}\langle x \rangle^{-1}\|_{L^{\infty}(\mathbb{R}^2)} + 1 \right) ds + C \|\sqrt{\rho} \delta u\|_{L^2((0,t) \times \mathbb{R}^2)}.$$

Step 2: duality argument.

At this stage, in order to control the difference $\sqrt{\rho}\delta u$ in $L^2((0,t) \times \mathbb{R}^2)$, we will estimate the solution v to the linear backward parabolic system (4.7) as in Subsection 4.1. Indeed, in our current setting, the solvability of problem (4.7) can be achieved by following the steps in Subsection 3.2. Moreover, similarly to estimate (4.8) and also to (3.24), (3.26), we have

$$\sup_{t \in (0,T)} \| \left(\sqrt{\rho}v, \nabla v \right) (t, \cdot) \|_{L^{2}(\mathbb{R}^{2})} + \| (\nabla v, \nabla^{2}v) \|_{L^{2}(0,T;L^{2}(\mathbb{R}^{2}))} + \| \bar{x}^{-\beta}v \|_{L^{2+\beta}(0,T;L^{\infty}(\mathbb{R}^{2}))} \\ + \| \bar{x}^{-\frac{1}{2}-\beta}v \|_{L^{\infty}(0,T;L^{3}(\mathbb{R}^{2}))} \leq C \| \sqrt{\rho} \delta u \|_{L^{2}((0,T) \times \mathbb{R}^{2})}.$$

Now, recall that

(4.32)
$$\|\sqrt{\rho}\delta u\|_{L^2((0,T)\times\mathbb{R}^2)}^2 \leq \int_0^T |\langle\delta\rho\dot{\bar{u}},v\rangle| \,dt + \int_0^T |\langle\rho\delta u\cdot\nabla\bar{u},v\rangle| \,dt.$$

By Hölder's inequality

$$\int_{0}^{T} |\langle \rho \delta u \cdot \nabla \bar{u}, v \rangle| dt
\leq \|\sqrt{\rho} \delta u\|_{L^{2}((0,T) \times \mathbb{R}^{2})} \|\nabla \bar{u}\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{2}))} \|\sqrt{\rho} v\|_{L^{2}(0,T;L^{\infty}(\mathbb{R}^{2}))}
\leq C \|\sqrt{\rho} \delta u\|_{L^{2}((0,T) \times \mathbb{R}^{2})} \|\nabla \bar{u}\|_{L^{\infty}(0,T;L^{2}(\mathbb{R}^{2}))} \|\bar{x}^{-\beta} v\|_{L^{2}(0,T;L^{\infty}(\mathbb{R}^{2}))} (1 + \|\rho \bar{x}^{2\beta}\|_{L^{\infty}((0,T \times \mathbb{R}^{2}))}),$$

and

$$\int_{0}^{T} |\langle \delta \rho \dot{\bar{u}}, v \rangle| dt \leq ||t^{-1/2} \delta \rho \bar{x}^{\beta}||_{L^{\infty}(0,T;\dot{H}^{-1}(\mathbb{R}^{2}))} ||\sqrt{t} \nabla (\bar{x}^{-\beta} \dot{\bar{u}} \cdot v)||_{L^{1}(0,T;L^{2}(\mathbb{R}^{2}))} \\
\leq \bar{D}(T) ||\sqrt{t} \nabla (\bar{x}^{-\beta} \dot{\bar{u}} \cdot v)||_{L^{1}(0,T;L^{2}(\mathbb{R}^{2}))}.$$

Using inequalities (3.30), (3.31) and $\beta < \frac{1}{2}$, we have

$$|\nabla(\bar{x}^{-\beta}\dot{\bar{u}}\cdot v)| \le C(|\nabla\dot{\bar{u}}||\bar{x}^{-\beta}v| + |\dot{\bar{u}}\bar{x}^{-\beta}||\nabla v| + |\dot{\bar{u}}\bar{x}^{-\beta}||v\bar{x}^{-\frac{1}{2}-\beta}|),$$

which implies that

$$\begin{split} \|\sqrt{t}\nabla(\bar{x}^{-\beta}\dot{\bar{u}}\cdot v)\|_{L^{1}(0,T;L^{2}(\mathbb{R}^{2}))} \\ \leq \|\sqrt{t}\nabla\dot{\bar{u}}\|_{L^{2}((0,T)\times\mathbb{R}^{2})}\|\bar{x}^{-\beta}v\|_{L^{2}(0,T;L^{\infty}(\mathbb{R}^{2}))} + \|\sqrt{t}\dot{\bar{u}}\bar{x}^{-\beta}\|_{L^{2}(0,T;L^{6}(\mathbb{R}^{2}))}\|\nabla v\|_{L^{2}(0,T;L^{3}(\mathbb{R}^{2}))} \\ + T^{\frac{1}{2}}\|\sqrt{t}\dot{\bar{u}}\bar{x}^{-\beta}\|_{L^{2}(0,T;L^{6}(\mathbb{R}^{2}))}\|v\bar{x}^{-\frac{1}{2}-\beta}\|_{L^{\infty}(0,T;L^{3}(\mathbb{R}^{2}))} \end{split}$$

So by estimate (4.31) we can bound the right-hand-side of (4.32) and get

$$\|\sqrt{\rho}\delta u\|_{L^{2}((0,T)\times\mathbb{R}^{2})}^{2} \leq CT^{\frac{\beta}{6}}(\bar{D}(T)\|\sqrt{\rho}\delta u\|_{L^{2}((0,T)\times\mathbb{R}^{2})} + \|\sqrt{\rho}\delta u\|_{L^{2}((0,T)\times\mathbb{R}^{2})}^{2}).$$

Clearly, the above inequality implies that, if T is small enough then

(4.33)
$$\|\sqrt{\rho}\delta u\|_{L^2((0,T)\times\mathbb{R}^2)} \le C\bar{D}(T)T^{\frac{\beta}{6}}$$

Putting the above inequality into (4.30), we get for T small enough

$$\bar{D}(T) \le C\left(\int_0^T \bar{D}(t) \left(\|\nabla \bar{u}\|_{L^{\infty}(\mathbb{R}^2)} + \|\bar{u}\langle x\rangle^{-1}\|_{L^{\infty}(\mathbb{R}^2)} + |\ln \bar{D}(t)| - \ln t\right) dt\right).$$

From Osgood's lemma [6, Lemma 3.4], we then infer that $\overline{D}(t) \equiv 0$ on [0, T], and thus $\sqrt{\rho}\delta u \equiv 0$, $\delta \rho \equiv 0$ on [0, T] thanks to inequality (4.33) and equation (4.19), respectively. Finally, $\delta u \equiv 0$ and $\delta \rho \equiv 0$ on $[0, \infty)$ can be concluded similarly as previous subsection. In particular, one needs to use the functional inequality (3.28).

4.3. **Proof of uniqueness.** To complete the proof of uniqueness part of Theorem A and Theorem B, it suffices to observe that all the assumptions in Proposition 4.1 and Proposition 4.2 are satisfied by those solutions constructed in Theorem A and Theorem B. \Box

5. Proof of Theorem C

We remark that the general strategy is the same in dimensions d = 2 and 3. Assume that $\partial \Omega_0$ corresponds to the level set $\{f_0 = 0\}$ of some $\mathcal{C}^{1,\gamma}$ function $f_0 : \Omega_0 \to \mathbb{R}$. Then we know that $\partial \Omega_t = X(t, f_0^{-1}(\{0\}))$ corresponds to the level set $\{f_t = 0\}$ with $f_t := f_0 \circ (X(t, \cdot))^{-1}$, where $(X(t, \cdot))^{-1}$ is the inverse function of $X(t, \cdot)$. Indeed, as the flow is incompressible, the Jacobian of X is identically equal to 1. Hence the classical inverse function theorem ensures the existence and regularity of X^{-1} .

Now, fix some T > 0. In the 2D case, according to Theorem A, for all $r \in [2, \infty)$ we can find q > 2 such that

$$\|\nabla^2 u\|_{L^1(0,T;L^r(\mathbb{R}^2)} \le \|\nabla^2(\sqrt{t}u)\|_{L^q(0,T;L^r(\mathbb{R}^2))} \|t^{-\frac{1}{2}}\|_{L^{q'}(0,T)} \le C_{0,T}$$

and by the Gagliardo-Nirenberg inequality (A.1) and Young's inequality

$$\|\nabla u\|_{L^{1}(0,T;L^{r}(\mathbb{R}^{2}))} \leq C(\|\nabla u\|_{L^{1}(0,T;L^{2}(\mathbb{R}^{2}))} + \|\Delta u\|_{L^{1}(0,T;L^{2}(\mathbb{R}^{2}))}) \leq C_{0,T}.$$

By Sobolev's embedding, one has $\nabla u \in L^1(0,T; \mathcal{C}^{0,\gamma})$ for all $\gamma \in (0,1)$. Consequently, the flow $X(t, \cdot)$ is $\mathcal{C}^{0,\gamma}$ and so is f_t .

For the 3D case, Theorem B ensures that for all $r \in [2, 6)$, $\nabla u \in L^1(0, T; L^r(\mathbb{R}^3))$ and we can find q > 2 such that $\nabla^2(\sqrt{t}u) \in L^q(0, T; L^r(\mathbb{R}^3))$. Thus $\nabla u \in L^1(0, T; W^{1,r}(\mathbb{R}^3))$. This finally implies that f_t is a $\mathcal{C}^{0,\gamma}$ function, if $\gamma < \frac{1}{2}$.

A. FUNCTIONAL SPACES AND INEQUALITIES

For the reader's convenience, we here recall a few results that are used repeatedly in the paper. Let us first recall the definitions of homogeneous Sobolev spaces.

Definition A.1 (homogeneous Sobolev space). Let s be in \mathbb{R} . The homogeneous Sobolev space $\dot{H}^s(\mathbb{R}^d)$ is the set of tempered distributions u on \mathbb{R}^d , with Fourier transform in $L^1_{loc}(\mathbb{R}^d)$, satisfying

$$|u||_{\dot{H}^{s}(\mathbb{R}^{d})} := ||\xi|^{s} \mathcal{F}(u)(\xi)||_{L^{2}(\mathbb{R}^{d})} < \infty.$$

We often use the following Gagliardo-Nirenberg inequalities.

Proposition A.2 (Gagliardo-Nirenberg inequalities; [26, Lemma II.3.3]). If $(q, r) \in (1, \infty)^2$, there exists a constant C depending on q and r such that

(A.1)
$$||z||_{L^p(\mathbb{R}^d)} \le C ||\nabla z||^{\theta}_{L^r(\mathbb{R}^d)} ||z||^{1-\theta}_{L^q(\mathbb{R}^d)}$$

with $\frac{1}{p} = \theta \left(\frac{1}{r} - \frac{1}{d}\right) + \frac{1-\theta}{q}, \ 0 \le \theta \le 1.$

Let us now recall some properties of the spaces $\widetilde{D}^{1,2}(\mathbb{R}^2)$ and $\mathcal{D}^{1,2}(\mathbb{R}^3)$ that are used in the paper. For more details, see Appendix A and B of [42]. The first one is the following weighted estimate for elements of the space $\widetilde{D}^{1,2}(\mathbb{R}^2)$.

Lemma A.3 ([42, Theorem B.1]). For $m \in [2, \infty)$ and $\ell \in (1 + \frac{m}{2}, \infty)$, there exists a positive constant C depending on m and l such that for all $z \in \tilde{D}^{1,2}(\mathbb{R}^2)$,

(A.2)
$$\left(\int_{\mathbb{R}^2} \frac{|z|^m}{\langle x \rangle^2} \left(\log \langle x \rangle \right)^{-\ell} dx \right)^{1/m} \le C \left(\|z\|_{L^2(B_1)} + \|\nabla z\|_{L^2(\mathbb{R}^2)} \right).$$

Then one has the following Proposition due to Li and Xin [37], which is a combination of Lemma A.3 with the Poincaré inequality; see [37], (2.6) and (2.8) in the proof of Lemma 2.4 therein.

Proposition A.4 ([37, Lemma 2.4]). Let $m \in [2, \infty)$ and $\ell \in (1 + \frac{m}{2}, \infty)$. Let $z \in \widetilde{D}^{1,2}(\mathbb{R}^2)$ and $\eta \in L^{\infty}(\mathbb{R}^2)$. Assume that $\sqrt{\eta}z \in L^2(\mathbb{R}^2)$ and satisfies

$$0 \le \eta \le \eta^*, \quad M \le \int_{B_R} \eta \, dx,$$

for positive constants η^* , M, R. Then there exists a positive constant C depending only on m, ℓ, η^*, M, R such that

(A.3)
$$\left(\int_{\mathbb{R}^2} \frac{|z|^m}{\langle x \rangle^2} \left(\log \langle x \rangle \right)^{-\ell} dx \right)^{1/m} \le C(\|\sqrt{\eta}z\|_{L^2(\mathbb{R}^2)} + \|\nabla z\|_{L^2(\mathbb{R}^2)}).$$

We also needed the following conditional L^2 bound for elements of the space $\widetilde{D}^{1,2}(\mathbb{R}^2)$.

Lemma A.5 ([42, Lemma B.1 and Remark B.1]). $\widetilde{D}^{1,2}(\mathbb{R}^2)$ is a Hilbert space for the scalar product $\langle \nabla z, \nabla w \rangle + \langle \mathbf{1}_{B_1} z, w \rangle$ and an equivalent norm is given by $\|\nabla z\|_{L^2(\mathbb{R}^2)} + |\int_{B_1} z \, dx|$. Moreover, we have $\widetilde{D}^{1,2}(\mathbb{R}^2) \cap (L^1(\mathbb{R}^2) + L^2(\mathbb{R}^2)) \hookrightarrow H^1(\mathbb{R}^2)$, more precisely, there exists a constant C > 0 such that for all $z \in \widetilde{D}^{1,2}(\mathbb{R}^2)$ satisfying $z = z_1 + z_2$ with $z_1 \in L^1(\mathbb{R}^2), z_2 \in L^2(\mathbb{R}^2)$

(A.4)
$$||z||_{L^2(\mathbb{R}^2)} \le C\left(||z_1||_{L^1(\mathbb{R}^2)}^{\frac{1}{2}}||\nabla z||_{L^2(\mathbb{R}^2)}^{\frac{1}{2}} + ||z_2||_{L^2(\mathbb{R}^2)}\right)$$

A similar result holds for all $z \in \mathcal{D}^{1,2}(\mathbb{R}^3)$. There exists a constant C > 0 such that for all $z \in \mathcal{D}^{1,2}(\mathbb{R}^3)$ satisfying $z = z_1 + z_2$ with $z_1 \in L^1(\mathbb{R}^3)$, $z_2 \in L^2(\mathbb{R}^3)$

(A.5)
$$||z||_{L^2(\mathbb{R}^3)} \le C\left(||z_1||_{L^1(\mathbb{R}^3)}^{\frac{2}{5}}||\nabla z||_{L^2(\mathbb{R}^3)}^{\frac{3}{5}} + ||z_2||_{L^2(\mathbb{R}^3)}\right).$$

Then based on Lemma A.5, we have

Proposition A.6 (interpolation estimate). Let d = 2, 3. For all $z \in \widetilde{D}^{1,2}(\mathbb{R}^2)$ or $\mathcal{D}^{1,2}(\mathbb{R}^3)$ and all non-negative function $\eta \in L^{\infty}(\mathbb{R}^d)$ that satisfies either

(A.6)
$$(1/\eta) \mathbf{1}_{\eta < \delta_0} \in L^1(\mathbb{R}^d), \text{ for some } \delta_0 > 0,$$

or

(A.7)
$$(\underline{\eta} - \eta)_+ \in L^p(\mathbb{R}^d), \text{ for some } \underline{\eta} \in (0,\infty), \ p \in (d/2,\infty),$$

there exists a constant C_* depending on d, δ_0 and $\|(1/\eta) \mathbf{1}_{\eta < \delta_0}\|_{L^1}$ in the case when (A.6) is satisfied, p, d, $\bar{\eta}$ and $\|(\bar{\eta} - \eta)_+\|_{L^p}$ in the case when (A.7) is satisfied, such that

(A.8)
$$||z||_{L^2(\mathbb{R}^d)} \le C_*(||\sqrt{\eta}z||_{L^2(\mathbb{R}^d)} + ||\nabla z||_{L^2(\mathbb{R}^d)}).$$

Proof. We first consider η satisfying (A.7). It is easy to check that

$$\sqrt{\underline{\eta}} \le \sqrt{(\underline{\eta} - \eta)_+} + \sqrt{\eta}.$$

We then write by Hölder's inequality, the Gagliardo-Nirenberg inequality in Proposition A.2 and Young's inequality that

$$\begin{split} \sqrt{\underline{\eta}} \| z \|_{L^{2}(\mathbb{R}^{d})} &\leq \| \sqrt{(\underline{\eta} - \eta)_{+}} z \|_{L^{2}(\mathbb{R}^{d})} + \| \sqrt{\eta} z \|_{L^{2}(\mathbb{R}^{d})} \\ &\leq \| (\underline{\eta} - \eta)_{+} \|_{L^{p}(\mathbb{R}^{d})}^{\frac{1}{2}} \| z \|_{L^{\frac{2p}{p-1}}}^{\frac{2p}{p-1}} + \| \sqrt{\eta} z \|_{L^{2}(\mathbb{R}^{d})} \\ &\leq C \| (\underline{\eta} - \eta)_{+} \|_{L^{p}(\mathbb{R}^{d})}^{\frac{1}{2}} \| z \|_{L^{2}(\mathbb{R}^{d})}^{1 - \frac{d}{2p}} \| \nabla z \|_{L^{2}(\mathbb{R}^{d})}^{\frac{d}{2p}} + \| \sqrt{\eta} z \|_{L^{2}(\mathbb{R}^{d})} \\ &\leq C \| (\underline{\eta} - \eta)_{+} \|_{L^{p}(\mathbb{R}^{d})}^{\frac{d}{p}} \| \nabla z \|_{L^{2}(\mathbb{R}^{d})} + \frac{\sqrt{\underline{\eta}}}{2} \| z \|_{L^{2}(\mathbb{R}^{d})} + \| \sqrt{\eta} z \|_{L^{2}(\mathbb{R}^{d})}, \end{split}$$

which enables us to obtain (A.8).

If (A.6) is satisfied, we decompose

$$z = z \, \mathbf{1}_{\eta < \delta_0} + z \, \mathbf{1}_{\eta \ge \delta_0}$$

and write that

$$\begin{aligned} \|z \,\mathbf{1}_{\eta \ge \delta_0}\|_{L^2(\mathbb{R}^d)} &\leq \frac{1}{\sqrt{\delta_0}} \|\sqrt{\eta} z \,\mathbf{1}_{\eta \ge \delta_0}\|_{L^2(\mathbb{R}^d)} \le \frac{1}{\sqrt{\delta_0}} \|\sqrt{\eta} z\|_{L^2(\mathbb{R}^d)}, \\ \|z \,\mathbf{1}_{\eta < \delta_0}\|_{L^1(\mathbb{R}^d)} &\leq \|\sqrt{\eta} z\|_{L^2(\mathbb{R}^d)} \|(1/\sqrt{\eta}) \,\mathbf{1}_{\eta < \delta_0}\|_{L^2(\mathbb{R}^d)} \\ &\leq \|\sqrt{\eta} z\|_{L^2(\mathbb{R}^d)} \|(1/\eta) \,\mathbf{1}_{\eta < \delta_0}\|_{L^1(\mathbb{R}^d)}^{\frac{1}{2}}. \end{aligned}$$

We complete the proof by using Lemma A.5 and Young's inequality.

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DATA AVAILABILITY STATEMENT

Data sharing is not applicable to this article as no datasets were generated or analyzed during the current study.

Conflict of interest

The authors declare that they have no conflict of interest.

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